

# TURBULENCE AND PARTICLE ACCELERATION

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# OUTLINE

I. PARTICLE ACCELERATION: General

II. STOCHASTIC ACCELERATION BY  
TURBULENCE

III. SOME APPLICATIONS

# I. ACCELERATION: General

- A. Observed over a wide energy, time and spatial scales
- B. Cosmic Rays (electrons, protons and ions; isotopes)
- C. Radiating Sources (electrons and protons)

## Some General Requirements

- Acceleration of Background Particles  
(no pre-acceleration)
  - Losses at the Acceleration Site  
(Coulomb, Synchrotron, Compton)

# Astrophysical Sources

## *Magnetized Plasmas*

1. Solar Flares
2. Sgr A\* (slow accreting AGNs)
3. Accretion Disks
4. Clusters of Galaxies
5. AGN Jets
6. GRBs

# ACCELERATION MECHANISMS

A: Electric Fields: **Parallel to B Field**

B: Fermi Acceleration

1. Shock or Flow Divergence: **First Order**

2. Stochastic Acceleration: **Second Order**

## II. ACCELERATION MECHANISMS

### A. ELECTRIC FIELDS: $\mathcal{E}$ (parallel to **B** field)

Acceleration Rate:  $dp/dt = e\mathcal{E}$

Astrophysical Plasmas Highly Conductive:  $\mathcal{E} \rightarrow 0$

Dricer Field:  $\mathcal{E}_D = kT/(e\lambda_{\text{Coul}})$

$\mathcal{E} < \mathcal{E}_D$ : Energy Gain  $\Delta E < kT(L/\lambda_{\text{Coul}})$

$\mathcal{E} > \mathcal{E}_D$ : Runaway Unstable Distribution Leads to

## PLASMA TURBULENCE

### 1. Double Layers (DLs) in Earth's Magnetosphere

Multiple DLs: Difusive Process like

## PLASMA TURBULENCE

### 2. Unipolar Induction in High $B$ field of Neutron Stars

Extreme Relativistic Energies: Pair Cascade

# II. ACCELERATION MECHANISMS

## B. FERMI ACCELERATION

Random scattering by moving scattering centers.

Diffusive Process: [Why Acceleration?](#)

More headon than trailing scatterings

[Phase space availability](#)

$$\frac{1}{p^2} \frac{\partial}{\partial p} (p^2 D_{pp} \frac{\partial f}{\partial p}) \rightarrow \frac{\partial}{\partial E} (D(E) \frac{\partial N}{\partial E}) - \frac{\partial}{\partial E} (A(E) N) \quad (1)$$

### 1. SHOCK ACCELERATION: (First Order Fermi)

Energy Gain:  $\dot{p} = \frac{p}{3} \frac{\partial u}{\partial x}$ ,  $\delta p/p \sim U_{\text{shock}}/v$

Need Scattering Agent *i.e.* **TURBULENCE**

#### **Diffusive Shocks**

Scattering Rate  $D_{\text{scat}}$

Acceleration Rate  $\sim (U_{sh}/v)^2 D_{\text{scat}}$

#### **Relativistic Shocks**

Most Energy Gained in First Passage

Most Likely in High  $B$  Plasmas *e.g.* GRBs or AGN Jets

Most of the Energy in Protons; [How to convert to Electrons?](#)

## 2. STOCHASTIC ACCELERATION:

(Second Order Fermi)

Plasma Waves or **TURBULENCE**

Energy Gain; *e.g.* Alfvén Waves:  $\delta p/p \sim (V_{\text{Alfvén}}/v)^2$

Scattering Rate  $\sim D_{\text{scat}}$

Acceleration Rate  $\sim D_{pp}/p^2 \sim (V_{\text{Alfvén}}/v)^2 D_{\text{scat}}$

• For  $V_{\text{Alfvén}} > V_{\text{sound}}$  **TURBULENCE** more efficient than **SHOCKS**

• At low energies or high  $B$  fields  $D_{pp}/p^2 \gg D_{\text{scat}}$  and **TURBULENCE** efficient accelerator



# ACCELERATION MECHANISMS

A: Electric Fields: **Parallel to B Field**

*Unstable leads to TURBULENCE*

B: Fermi Acceleration

1. Shock or Flow Divergence: **First Order**

*Shocks and Scatterers; i.e. TURBULENCE*

2. Stochastic Acceleration: **Second Order**

*Scattering and Acceleration by TURBULENCE*

***TURBULENCE***

# Shock Acceleration

- Simple model very attractive
- Some unanswered questions:
  - Source Particles
  - Scattering Processes
  - Feedback and Nonlinear effects

Combined Turbulence and Shock Processes

## II. STOCHASTIC ACCELERATION BY PLASMA TURBULENCE

1. Turbulence Generation
2. Turbulence Cascade
3. Turbulence Damping
4. Interactions with Particles
5. Spectrum of the Accelerated Particles

# 1. TURBULENCE GENERATION

## **Turbulence is Very Common in Astrophysics**

Hydrodynamic: Ordinary Reynolds number

$$R_e = LV / \nu \gg \gg 1; \quad \nu = \text{Viscosity}$$

In MHD: Magnetic Reynolds number

$$R_m = LV / \eta \gg \gg 1; \quad \eta = \text{Mag. Diff. Coeff.}$$

*Thus most flows or fluctuations lead to generation of turbulence on scales around  $L$*

*(or waves with  $k$ -vector  $k_{min} = 1/L$ )*

## 2. TURBULENCE CASCADE

**HD:** Large eddies breaking into small ones

Eddy turnover or *cascade* time

$$\tau_{cas} \approx 1 / kv(k) < L / V_{sound}$$

**MHD:** Nonlinear wave-wave interactions

$$\omega(k_1) = \omega(k_2) + \omega(k_3); \quad k_1 = k_2 + k_3$$

$$\tau_{cas} \leq L / V_{Alfven}$$

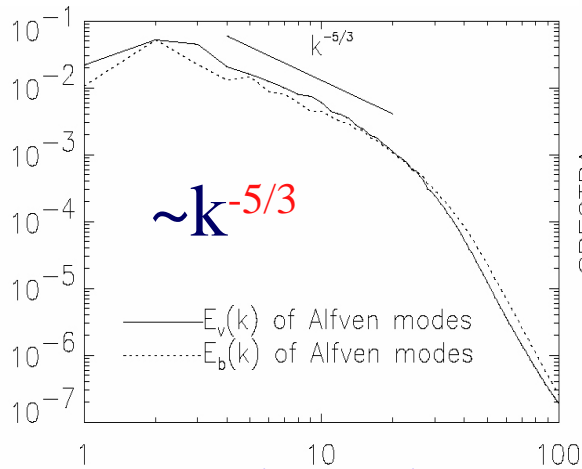
Dispersion Relation: (*Low Beta Plasma,  $V_{Alfven} \gg V_{Sound}$* )

$$\omega(k) = k_{\parallel} V_{Alfven}, \quad k V_{Alfven}, \quad k_{\parallel} V_{Sound}$$

*For Alfven, Fast and Slow Modes*

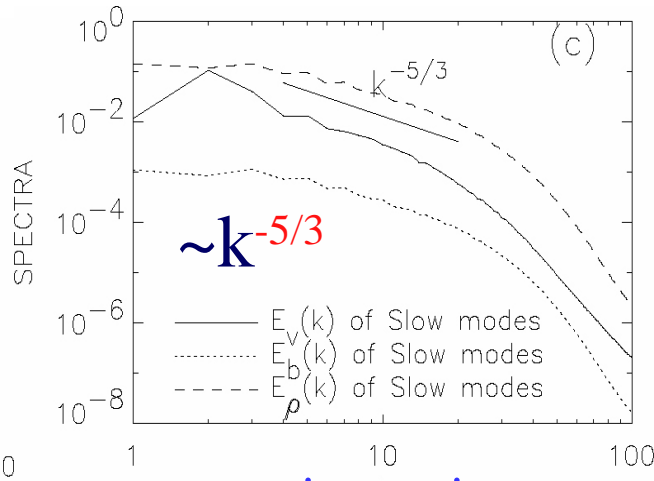
# 2. Cascade of MHD Turbulence

Alfvén



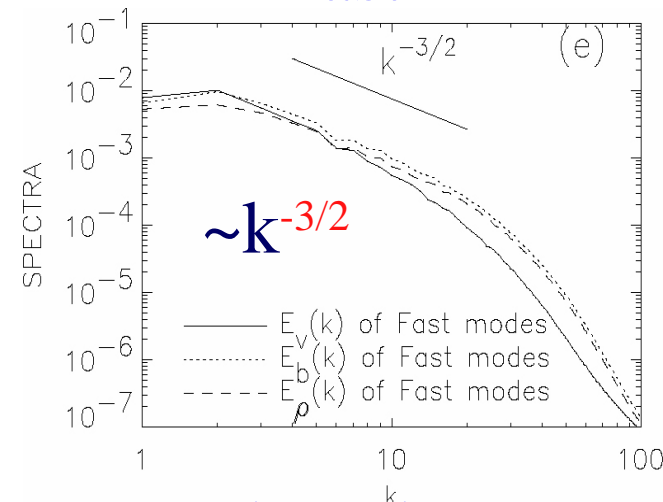
anisotropic

Slow

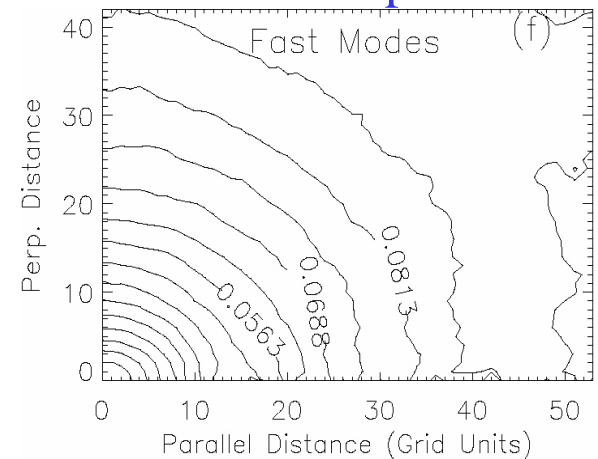
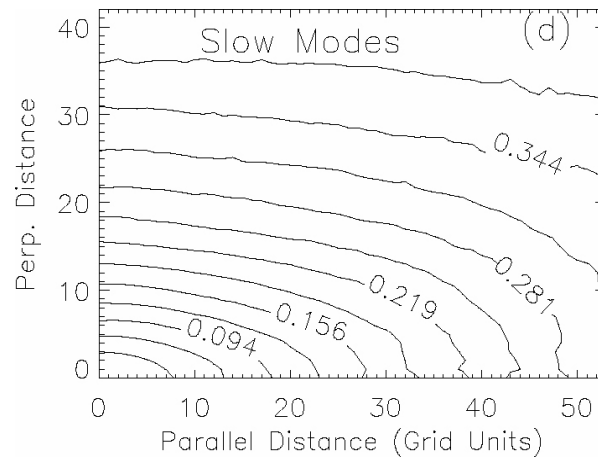
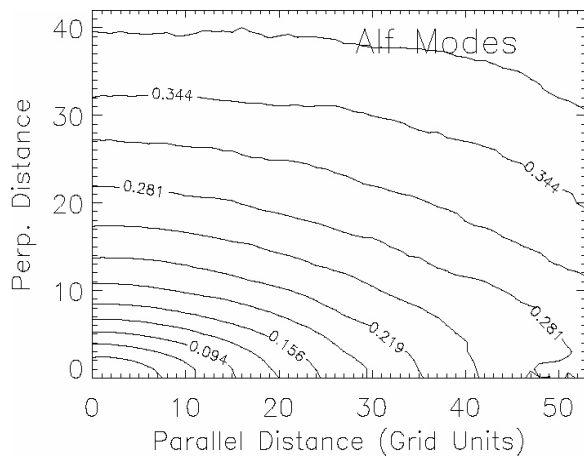


anisotropic

Fast



isotropic



# 3. TURBULENCE DAMPING

Viscous or Collisional Damping:  $l = k^{-1} \gg \lambda_{Coul}$

Collisionless Damping:  $k^{-1} \ll \lambda_{Coul}$

Thermal: *Heating of Plasma*

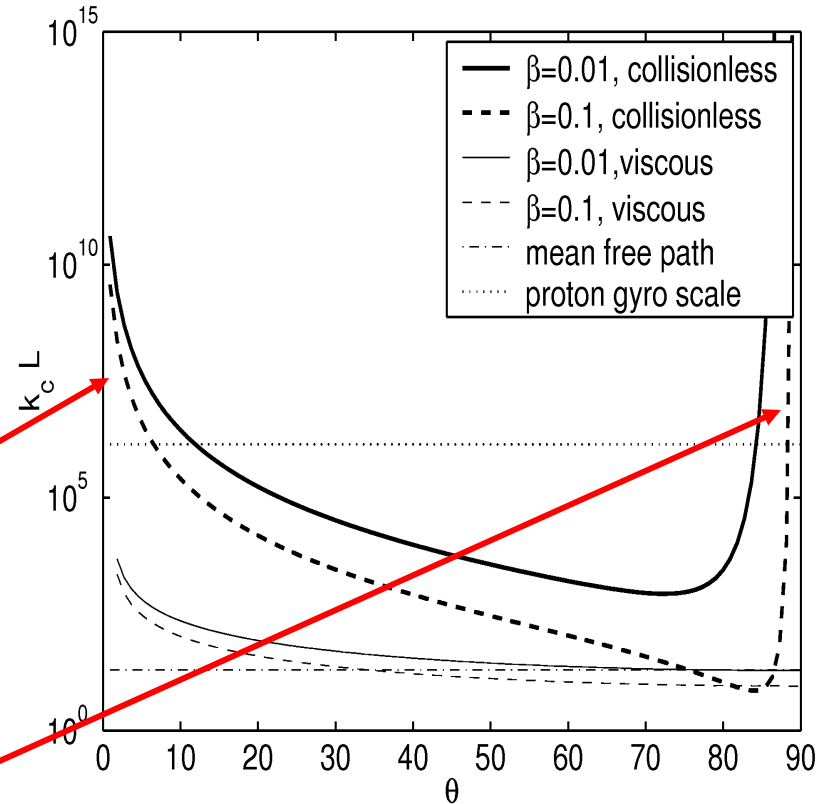
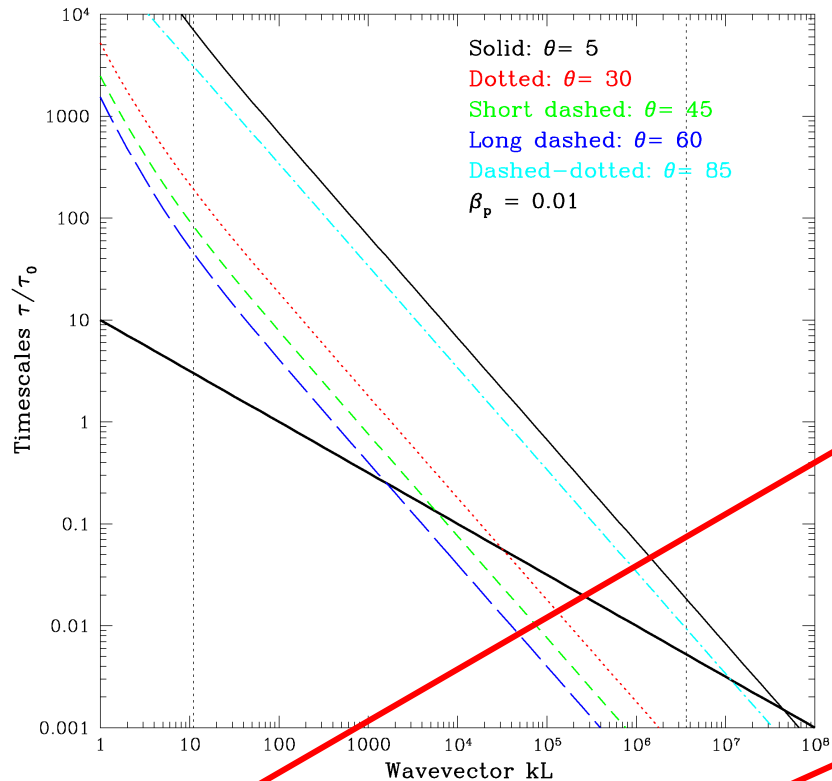
Nonthermal: *Particle Acceleration*

Turbulence is damped for  $k > k_{\max}$

where  $\tau_{damp} (\propto k^{-1}) = \tau_{cas} (\propto k^{-1/2})$

*Inertial Range*  $k_{\min} < k < k_{\max}$

# 3. Turbulence Damping



**Parallel (and perpendicular) waves are not damped**

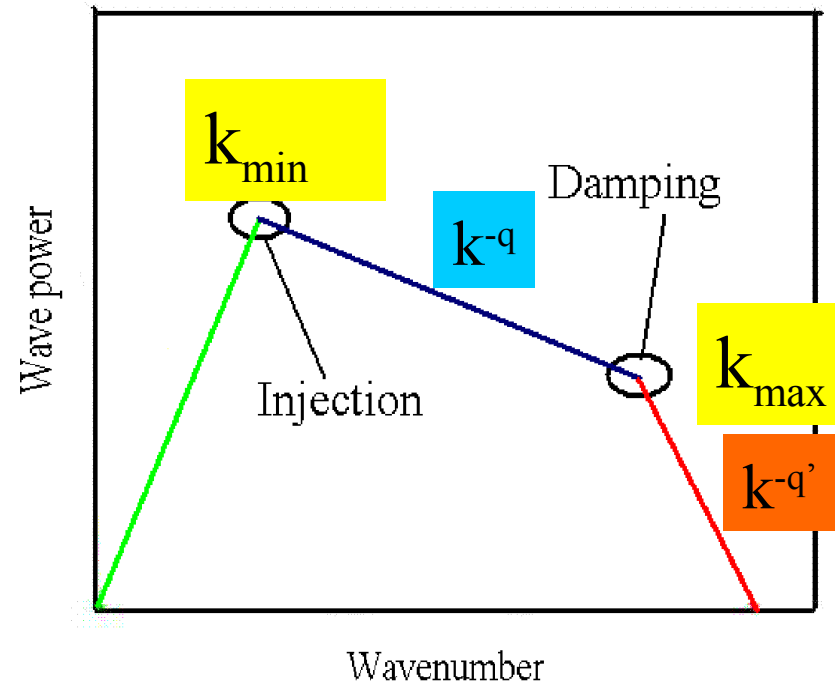


# Turbulence Spectrum

$$W(k) = ?$$

## General Features:

- Injection scale:  $k_{\min}$
- Cascade and index  $q$
- Damping scale or  $k_{\max}$



## Kinetic Equation:

$$\frac{\partial W(\mathbf{k}, t)}{\partial t} = \dot{Q}_p(\mathbf{k}, t) - \gamma(\mathbf{k})W(\mathbf{k}, t) + \nabla_i [D_{ij} \nabla_j W(\mathbf{k}, t)] - \frac{W(\mathbf{k}, t)}{T_{\text{esc}}^W(\mathbf{k})}$$

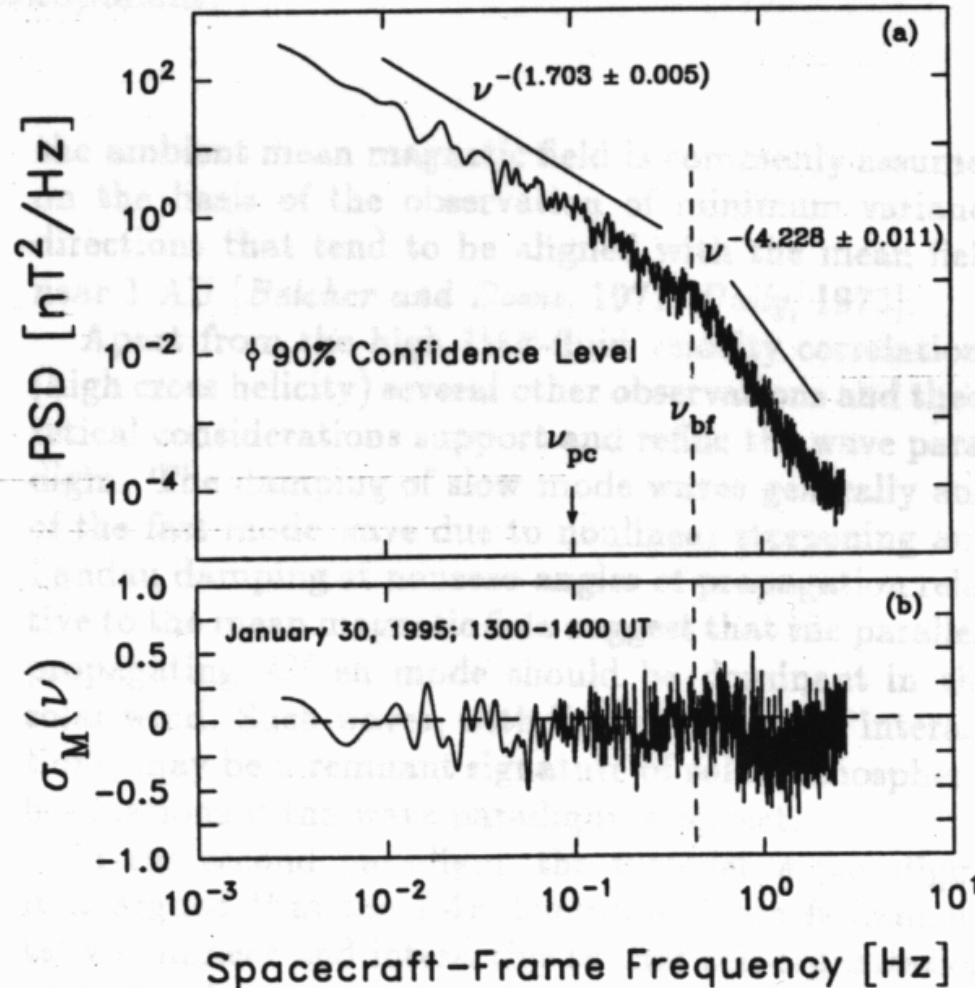
$\dot{Q}_p(\mathbf{k})$ : Rate of wave generation.

$T_{\text{esc}}^W$ : Wave leakage timescale.

$\gamma(k) = \gamma_e + \gamma_p$ : The damping coefficients.

$D_{ij}$ : Wave diffusion tensor.

# Magnetic fluctuations in Solar wind



Magnetic  
fluctuations in  
Solar wind

Leamon et al (1998)

## 4. Interactions with Particles: *Heating and Acceleration*

### **Resonant Wave-Particle Interactions**

Interaction Rates

Dispersion Relations

Particle Kinetic Equation

# Wave-Particle Interaction Rates

- Dominated by Resonant Interactions

$$D_{ij} = \pi e^2 \sum_{n=-\infty}^{+\infty} \int d^3k \langle d_{ij} \rangle \delta\left(\mathbf{k} \cdot \mathbf{v} - \omega + \frac{n\eta_0}{\gamma} \Omega_0\right),$$

- Lower energy particles interacting with higher wavevectors or frequencies

# Dispersion Relation for the Waves (Propagating Along Field Lines)

$$(ck)^2 = \omega^2 \left[ 1 - \sum_i \frac{\omega_{pi}^2}{\omega(\omega - q_i/|q_i|\Omega_i)} \right].$$

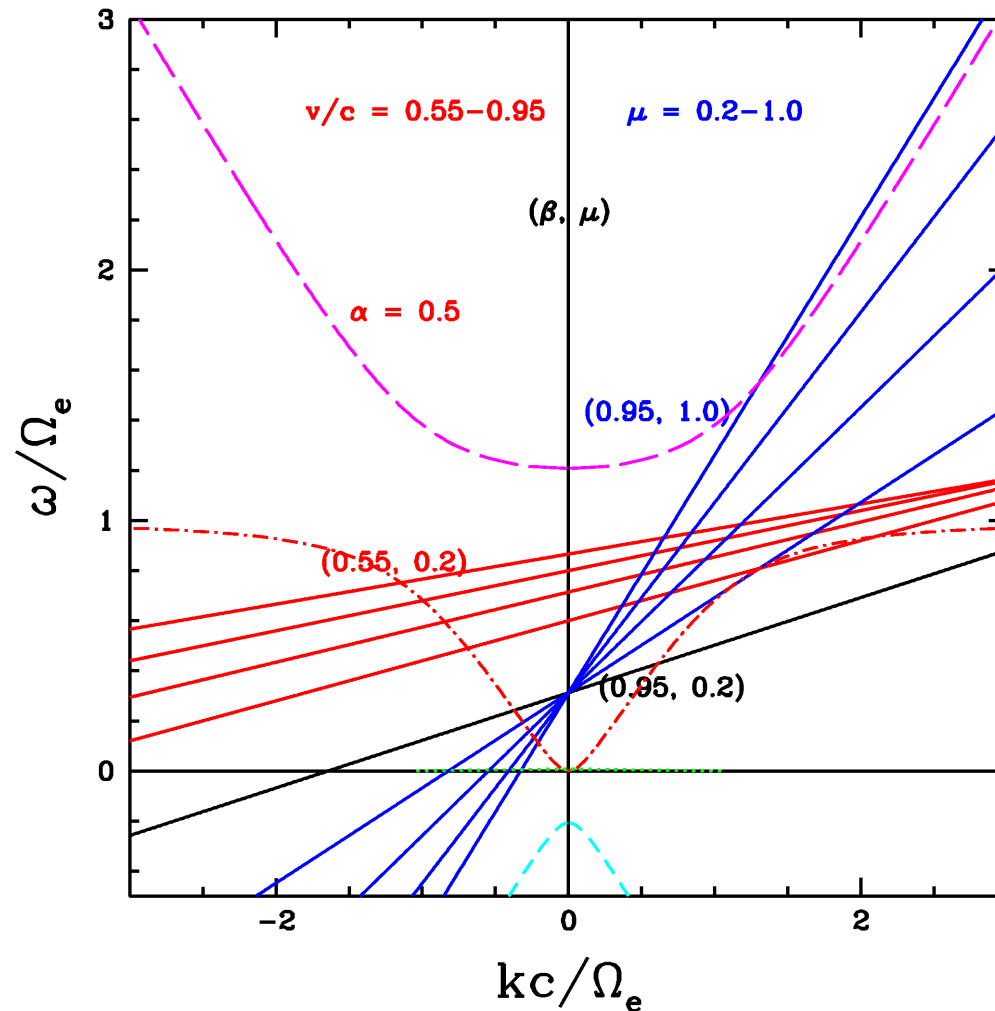
Plasma Parameter:

$$\alpha = \frac{\omega_{pe}}{\Omega_e} = 1.0 \left( \frac{n}{10^9 \text{cm}^{-3}} \right)^{1/2} \left( \frac{B_0}{100 \text{G}} \right)^{-1}$$

**Abundances:** Electrons, protons and alpha particles

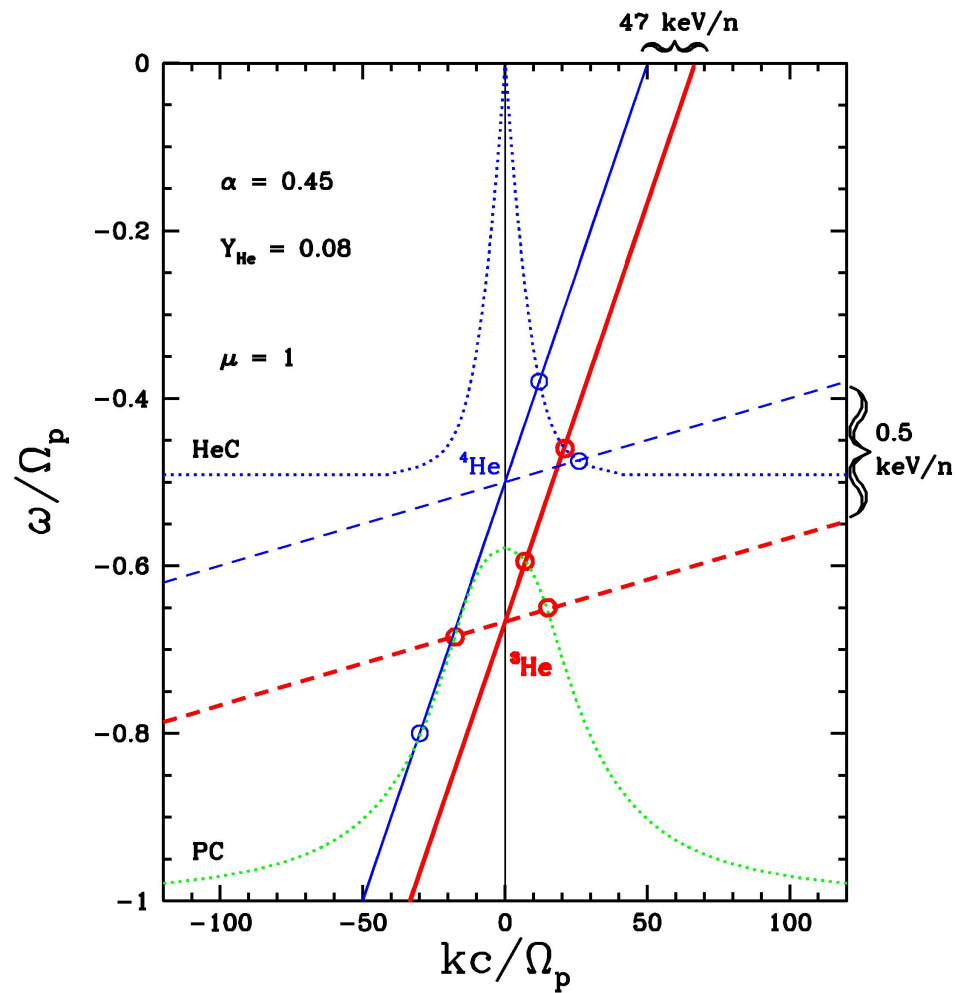
# Resonant Interaction *electrons*

$$\omega = \mu v k + \frac{\Omega_i}{\gamma}$$

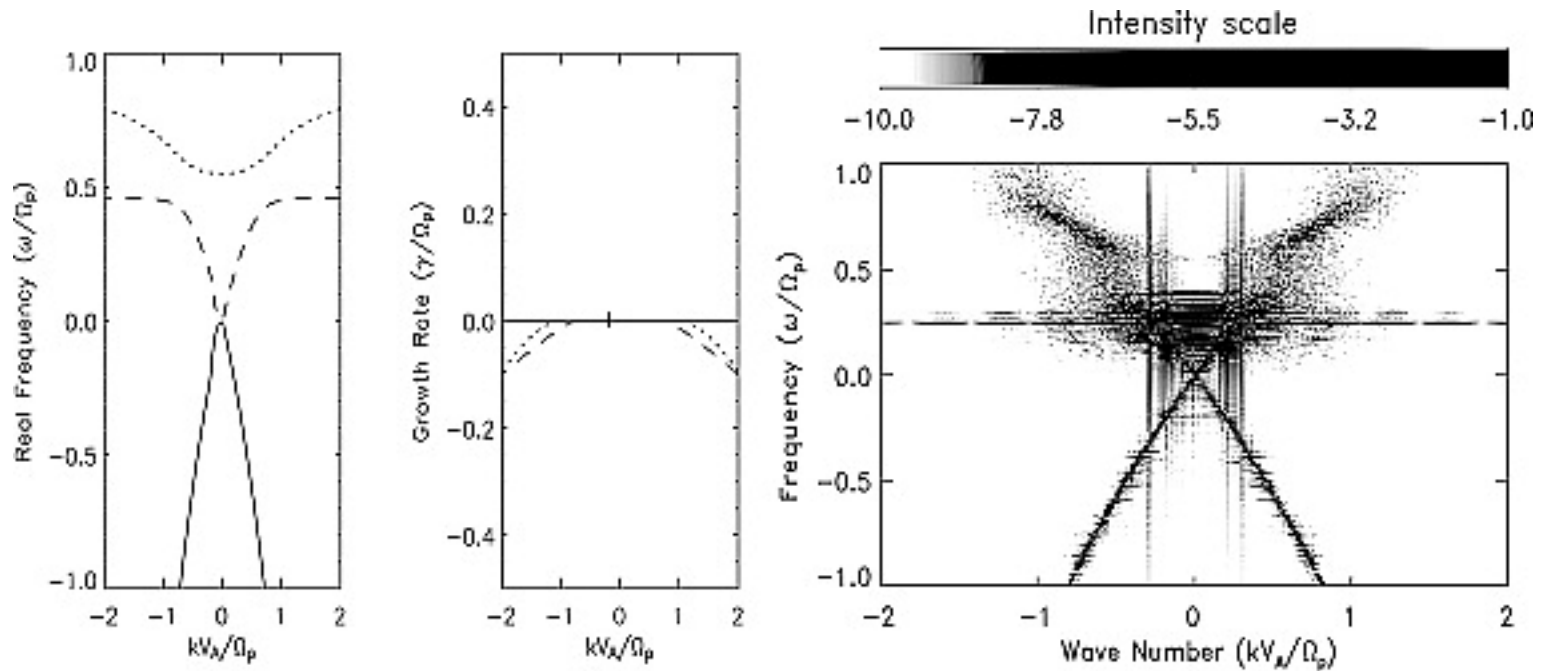


# Resonant Wave-Particle Interactions *4He and 3He*

$$\omega = \mu v k + \frac{\Omega_i}{\gamma}$$



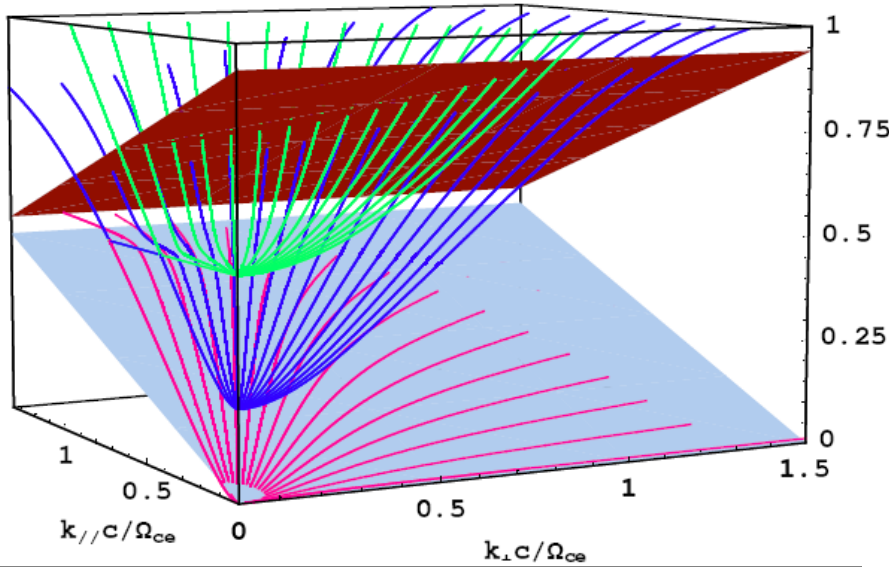
# Simulations of The Wave Modes



- From Opher et al.



# General Dispersion Relation



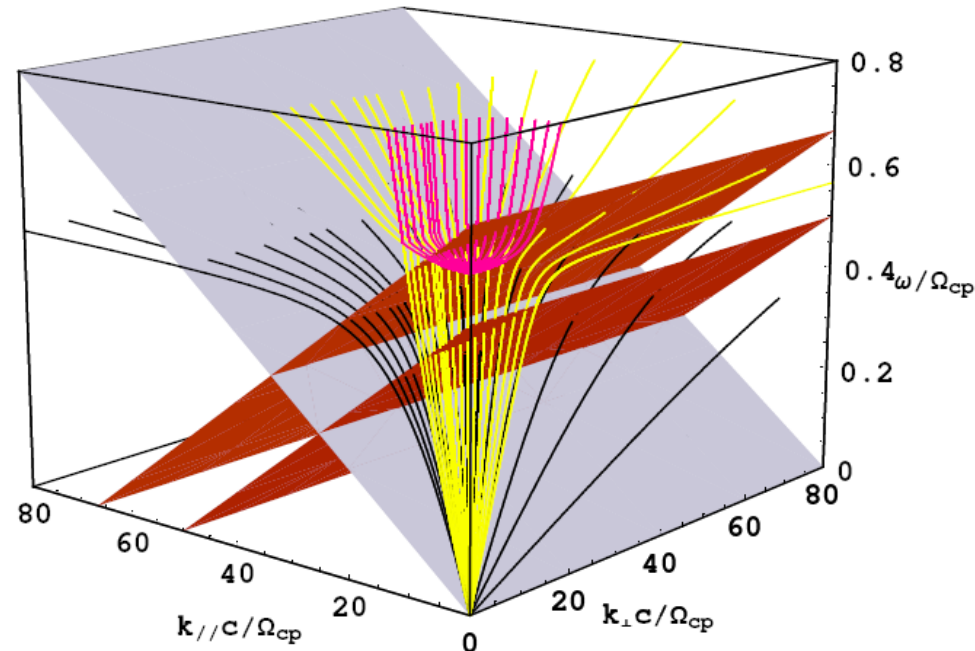
$$\tan^2 \theta = \frac{-P(n^2 - R)(n^2 - L)}{(Sn^2 - RL)(n^2 - P)}$$

$$S = \frac{1}{2}(R+L), \quad D = \frac{1}{2}(R-L), \quad P = 1 - \sum_i \frac{\omega_{pi}^2}{\omega^2}, \quad R = 1 - \sum_i \frac{\omega_{pi}^2}{\omega^2} \left( \frac{\omega}{\omega + \epsilon_i \Omega_i} \right),$$

$$L = 1 - \sum_i \frac{\omega_{pi}^2}{\omega^2} \left( \frac{\omega}{\omega - \epsilon_i \Omega_i} \right), \quad \omega_{pi}^2 = \frac{4\pi n_i q_i^2}{m_i}, \quad \Omega_i = \frac{|q_i| B}{m_i c}, \quad \epsilon_i = \frac{q_i}{|q_i|}$$

Resonance Condition

$$\omega = k_{\parallel} v \mu + n \Omega_i / \gamma$$



# 5. PARTICLE SPECTRA

## *ISOTROPIC AND HOMOGENEOUS*

$$\frac{\partial N}{\partial t} = \frac{\partial^2}{\partial E^2} (D_{EE} N) + \frac{\partial}{\partial E} [(\dot{E}_L - A) N] - \frac{N}{T_{\text{esc}}} + Q$$

$$A(E) = \frac{dD_{EE}}{dE} + D_{EE} \frac{2\gamma^2 - 1}{(\gamma^2 - 1)\gamma mc^2} + A_{\text{shock}}$$

$$T_{\text{esc}} = \frac{L}{\sqrt{2}v} \left( 1 + \frac{\sqrt{2}L}{v\tau_{\text{sc}}} \right) \quad \tau_{\text{sc}} = \frac{1}{2} \int_{-1}^1 d\mu \frac{(1 - \mu^2)^2}{D_{\mu\mu}}$$

## A. KINETIC EQUATION

Liouville or Boltzmann equation in limit of many "small" scatterings leads to

**The General Fokker-Planck equation:**

$$\frac{\partial f}{\partial t} + v\mu \frac{\partial f}{\partial s} = \frac{1}{p^2} \frac{\partial}{\partial p} p^2 \left[ D_{pp} \frac{\partial f}{\partial p} + D_{p\mu} \frac{\partial f}{\partial \mu} \right] + \frac{\partial}{\partial \mu} \left[ D_{\mu\mu} \frac{\partial f}{\partial \mu} + D_{\mu p} \frac{\partial f}{\partial p} \right] - \frac{1}{p^2} \frac{\partial}{\partial p} (p^2 \dot{p}_L f) + S.$$

$f(p, \mu, s, t)$ ; gyrophase averaged particle distribution

$s$  is the distance along the background  $B$  field

$S$  is a source term

**1. Isotropic, High Energy Limit:**

$D_{\mu\mu} \gg v/L$  and  $D_{pp}/p^2$

$$F(p, s, t) \equiv \frac{1}{2} \int_{-1}^1 d\mu f(p, \mu, s, t),$$

$$\frac{\partial F}{\partial t} - \frac{\partial}{\partial z} \kappa_1 \frac{\partial F}{\partial z} = (pv) \frac{\partial \kappa_2}{\partial z} \frac{\partial F}{\partial p} - \frac{1}{p^2} \frac{\partial}{\partial p} (p^3 v \kappa_2) \frac{\partial F}{\partial z} + \frac{1}{p^2} \frac{\partial}{\partial p} \left( p^4 \kappa_3 \frac{\partial F}{\partial p} - p^2 \dot{p}_L F \right) + Q(p, s, t),$$

$$\begin{aligned} \kappa_1 &= \frac{v^2}{8} \int_{-1}^1 d\mu \frac{(1-\mu^2)^2}{D_{\mu\mu}}, & \kappa_2 &= \frac{1}{4} \int_{-1}^1 d\mu (1-\mu^2) \frac{D_{\mu p}}{p D_{\mu\mu}} \\ \kappa_3 &= \frac{1}{2} \int_{-1}^1 d\mu (D_{pp} - D_{\mu p}^2 / D_{\mu\mu}) p^2, & Q(p, s, t) &\equiv \frac{1}{2} \int_{-1}^1 d\mu S(p, \mu, s, t). \end{aligned}$$

The acceleration and scattering times are

$$\tau_{ac} = 1/\kappa_3 \quad \tau_{sc} = 8\kappa_1/v^2.$$

# COUPLED EQUATIONS

## 1. Kinetic Equations

$$\frac{\partial N}{\partial t} = \frac{\partial}{\partial E} \left[ D_{EE} \frac{\partial N}{\partial E} - (A - \dot{E}_L)N \right] - \frac{N}{T_{\text{esc}}^p} + \dot{Q}^p$$

$$\frac{\partial W}{\partial t} = \frac{\partial}{\partial k_i} \left[ D_{ij} \frac{\partial}{\partial k_j} W \right] - \Gamma(\mathbf{k})W - \frac{W}{T_{\text{esc}}^W(\mathbf{k})} + \dot{Q}^W$$

## 2. Energy Balance

$$\dot{W}_{\text{nonth}} \equiv \int \Gamma_{\text{nonth}}(\mathbf{k})W(\mathbf{k})d^3k = \dot{\mathcal{E}} \equiv \int A(E)N(E)dE$$

## 3. Rate Coefficients

$$A(E) = \frac{d[vp^2 D(p)]}{4p^2 dp} = \int_{k_{\text{min}}}^{\infty} d^3k W(\mathbf{k})\Sigma(\mathbf{k}, E)$$

$$\Gamma_{\text{nonth}}(\mathbf{k}) = \int_{E_0}^{\infty} dE N(E)\Sigma(\mathbf{k}, E)$$

# 5. Accelerated Particle Spectra Model Parameters

<i>In principle:</i>	Density	$n$
	Temperature	$T$
	Magnetic Field	$B$
	Scale (geometry)	$L$
	Level of Turbulence	$(\delta B / B)^2$

# 5. Accelerated Particle Spectra

## *Kinetic Equation Coefficients*

Acceleration rate or time

$\tau_{ac}$

Loss rate or time

$\tau_{loss}$

Escape rate or time

$T_{esc}$

Characteristic Times:

$$\tau_p^{-1} \propto \Omega_e (\delta B / B)^2 \text{ and } T_{cross} \approx L / v$$

# Some Attractive Features

1. Acceleration of Background Particles
2. Spectral Breaks
3. Heating and Acceleration
4. Proton (or ion) vs Electron Acceleration
5. Effects of **shocks** can be included

# A SIMPLE EXAMPLE

$$\frac{\partial N}{\partial t} = \frac{\partial}{\partial \gamma} \left[ \frac{\partial \gamma^2 N}{\partial \gamma} - \left( 4\gamma - \frac{4\gamma^2 \tau_{\text{ac}}}{\tau_0} \right) N \right] - \frac{N}{T_{\text{esc}}} + \dot{Q}$$

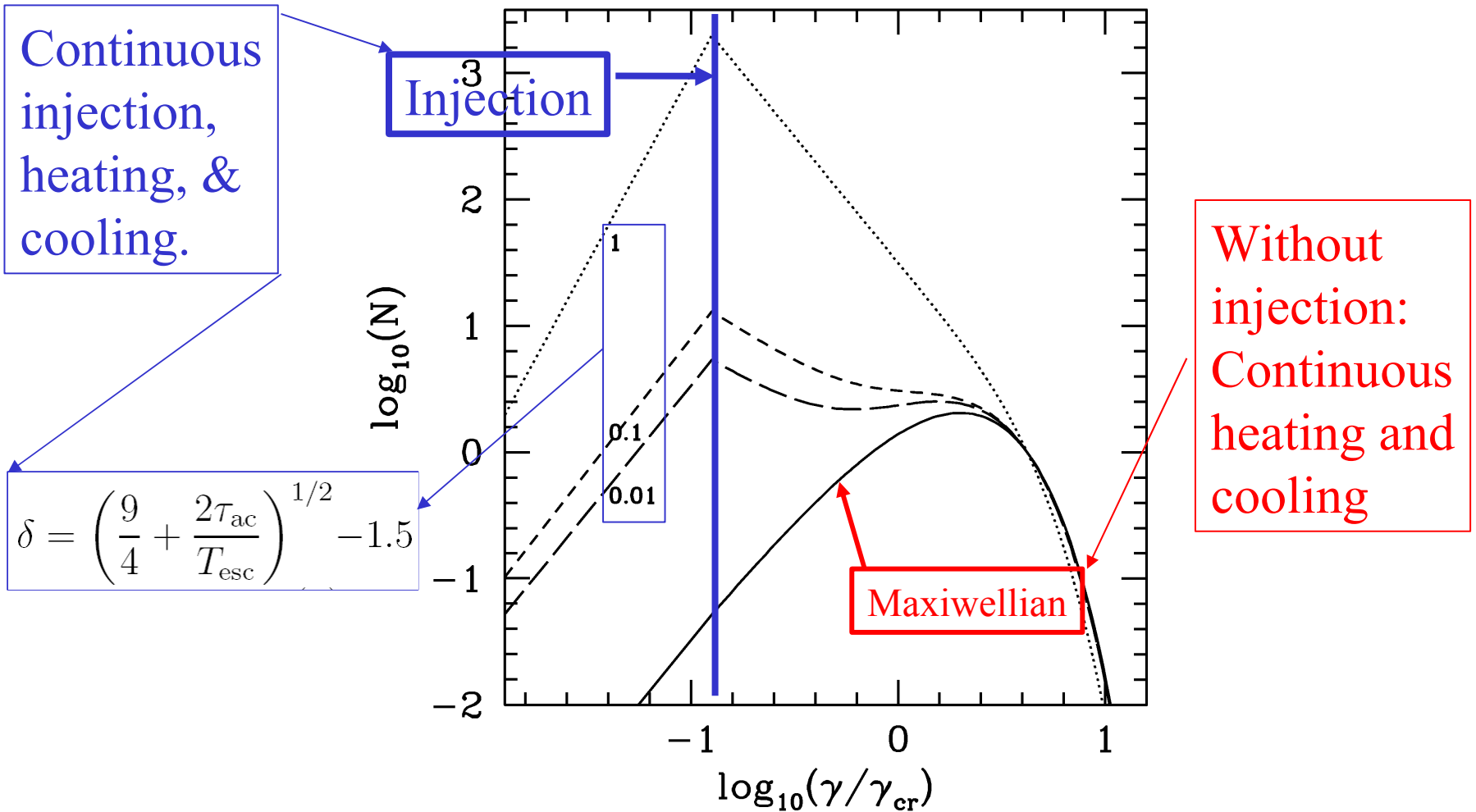
$$\tau_{\text{ac}} = \frac{C_1}{f_{\text{turb}}} \frac{cR}{v_A^2}$$

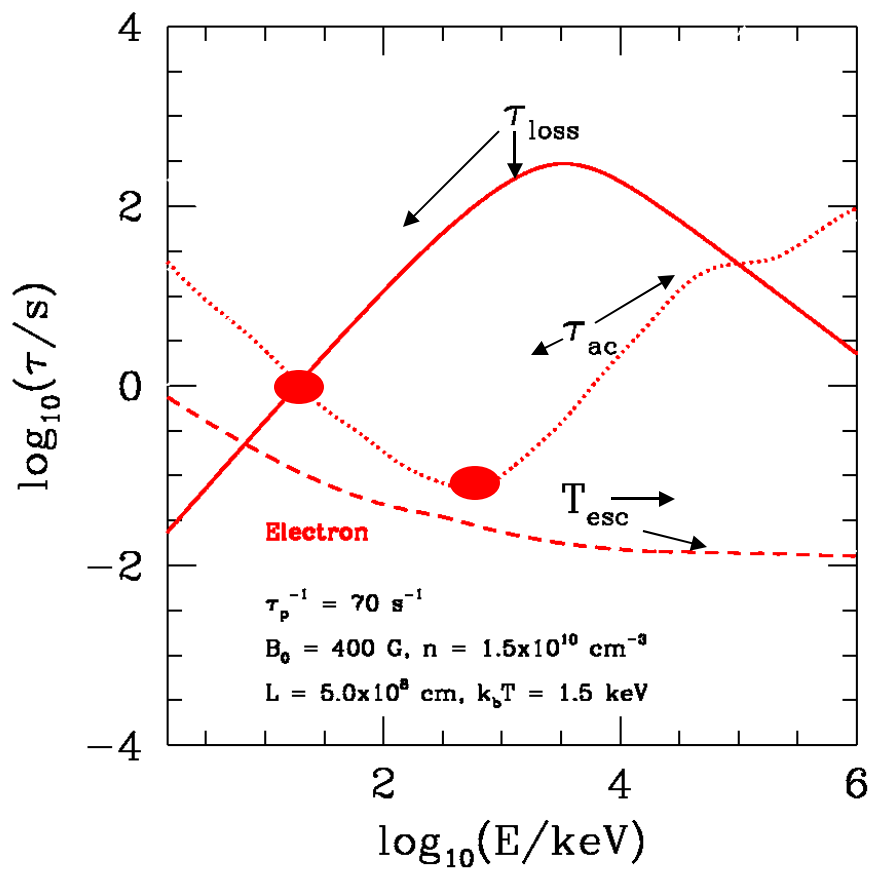
$$\tau_{\text{syn}}(\gamma) = 9m_e^3 c^5 / 4e^4 B^2 \gamma = \tau_0 / \gamma$$

$$\gamma_{\text{cr}} = \frac{\tau_0}{4\tau_{\text{ac}}} = \frac{9m_e^3 c^4 v_A^2 f_{\text{turb}}}{16e^4 R B^2 C_1} = 30 \left( \frac{R}{r_S} \right)^{-1} \left( \frac{n}{10^7 \text{ cm}^{-1}} \right)^{-1} \left( \frac{f_{\text{turb}}}{C_1} \right)$$

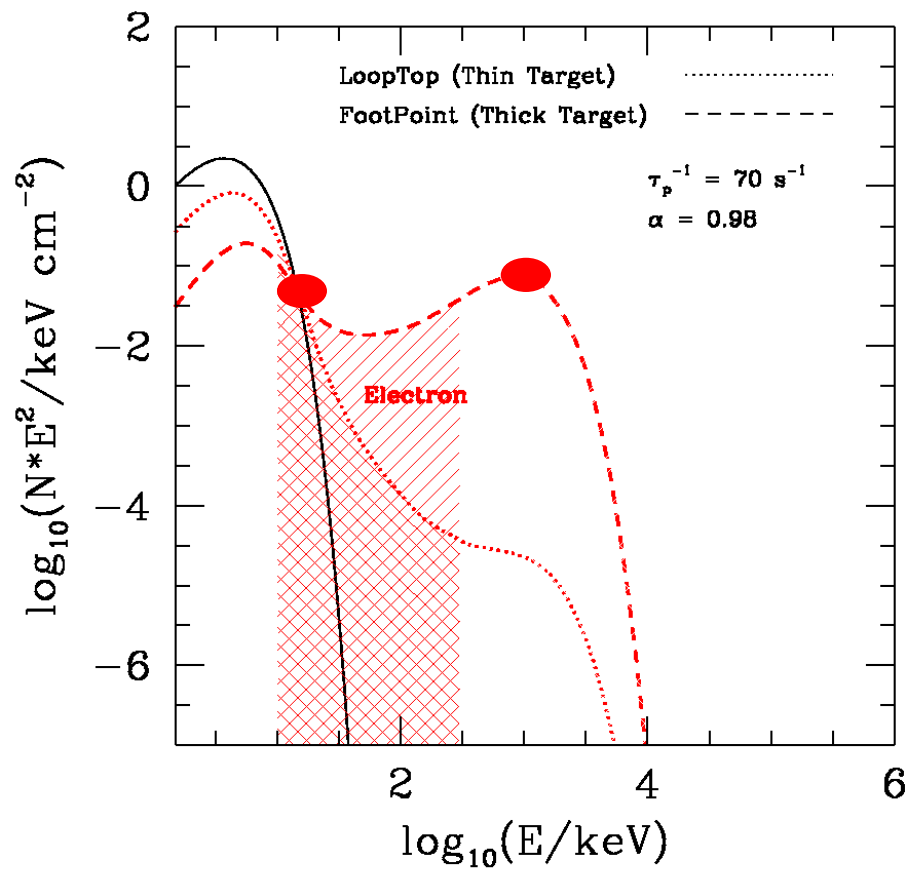


# Electron Spectra and $\gamma_{\min} = ?$



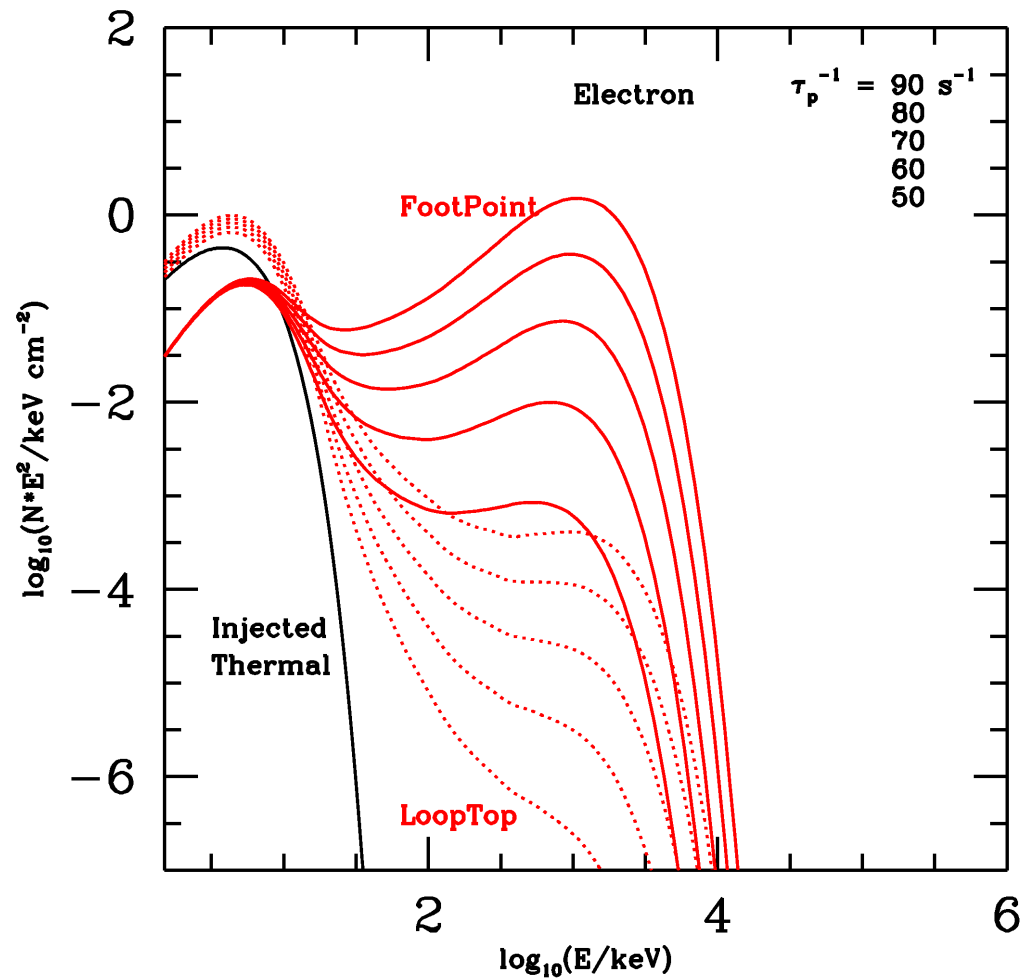


Time Scales



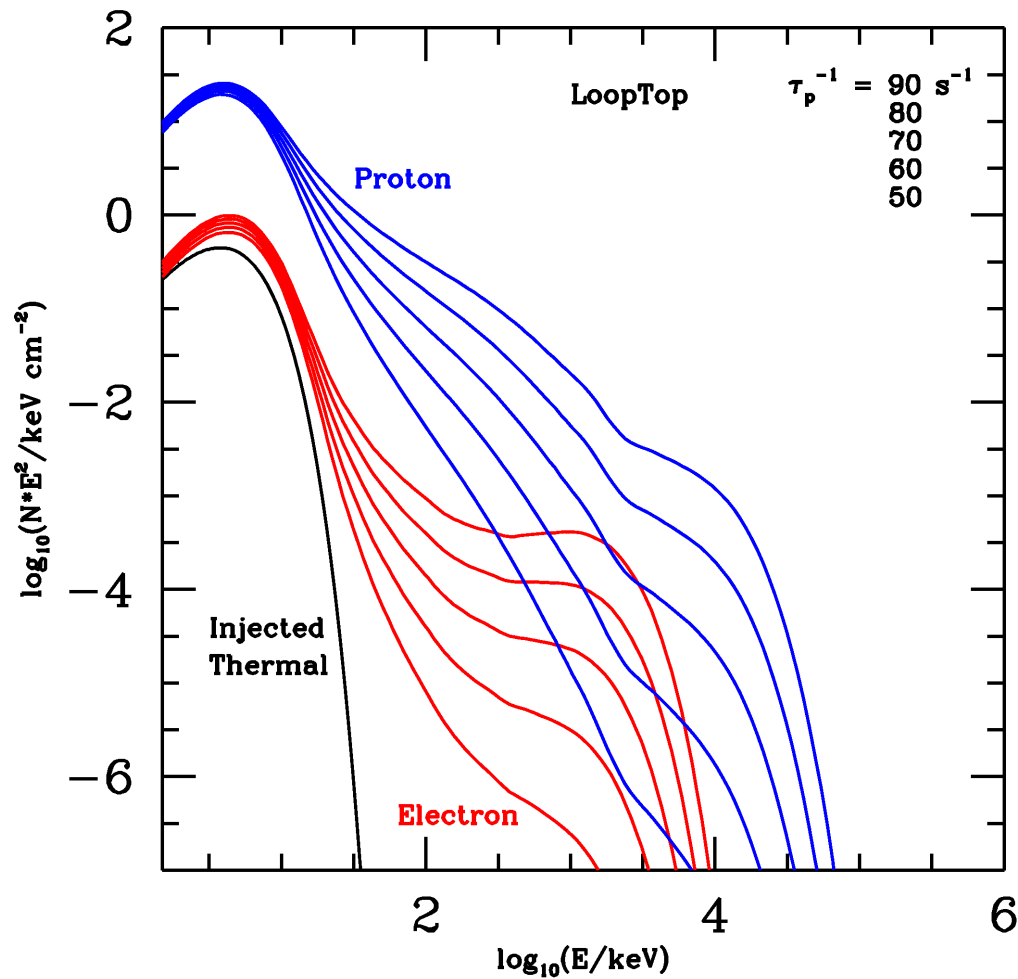
Steady State Particle Distributions

# SPECTRAL HARDNESS



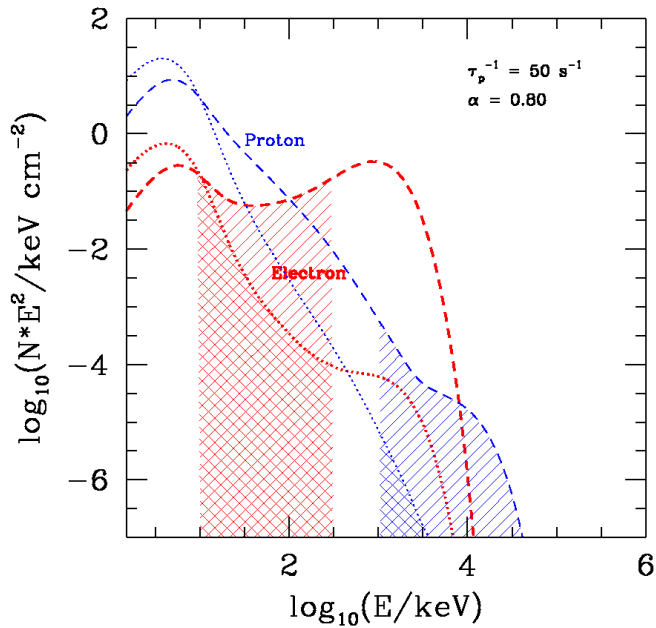
HEATING VS ACCELERATION

# Electron vs Proton Acceleration

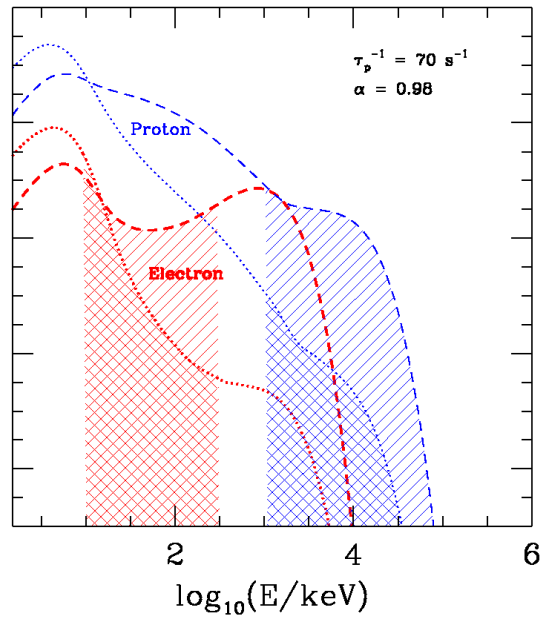


# Protons vs. Electrons

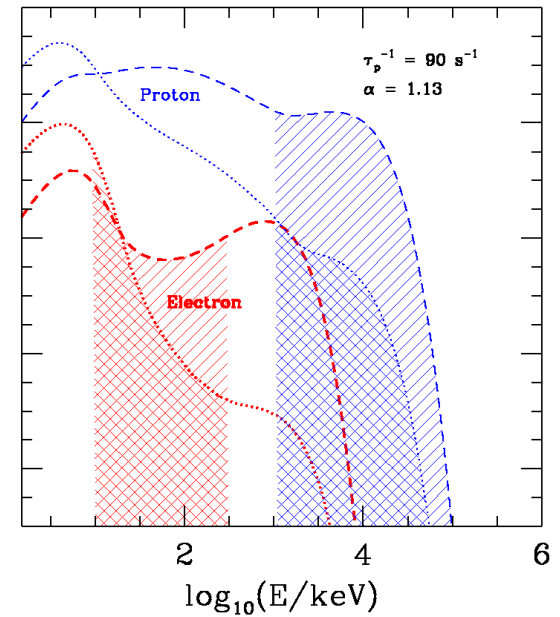
$\alpha = 0.80$



$\alpha = 0.98$

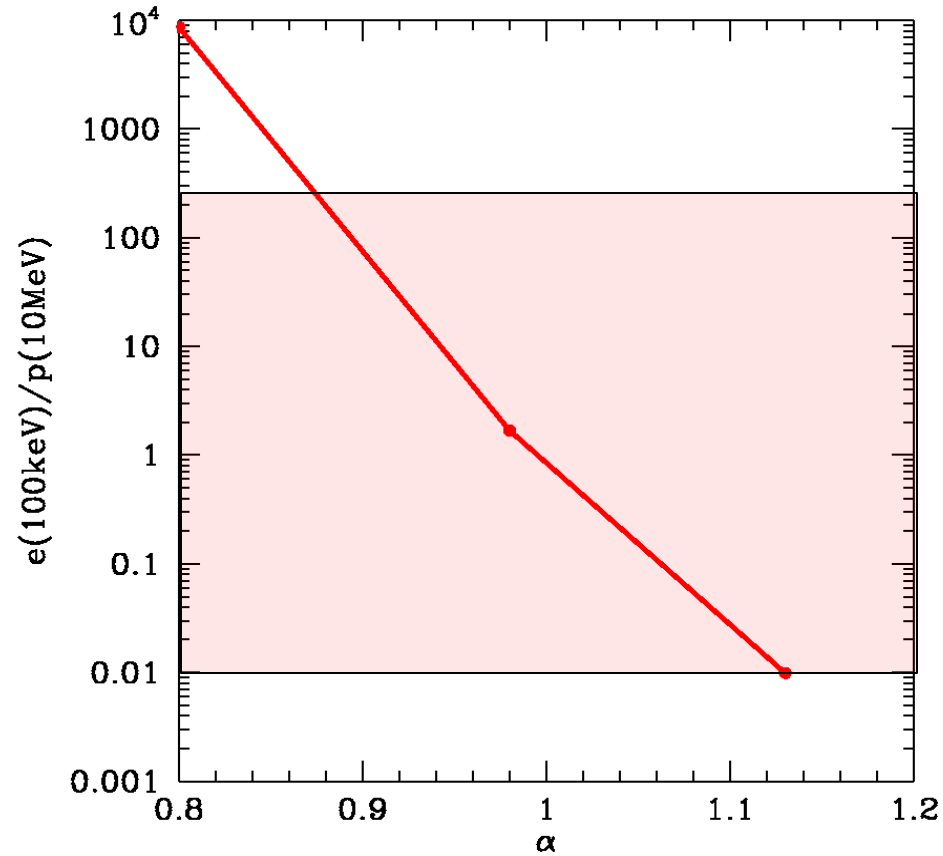


$\alpha = 1.13$



Dependence on the Plasma Parameter

# Protons vs. Electrons



# SUMMARY

- Turbulence and plasma waves play major roles in non-thermal sources in energizing the plasma and accelerating particles.
- These are the dominant acceleration process at low energies and scattering agent at all energies.
- It can describe many features of radiation and particle spectra from a variety of sources.

# III. Some Applications

Solar Flares

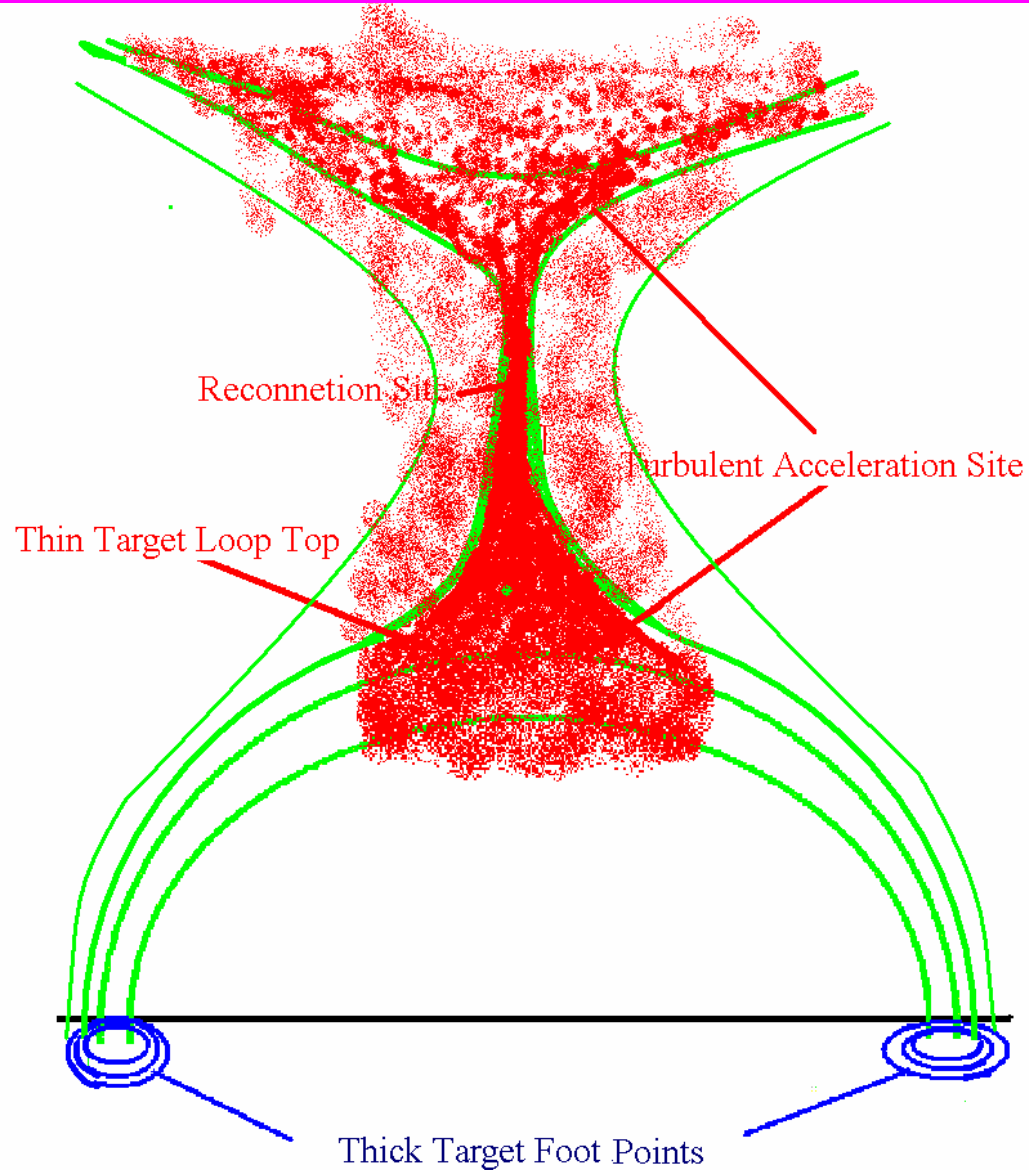
Sgr A\* (slow accreting AGNs)



# SOLAR FLARES

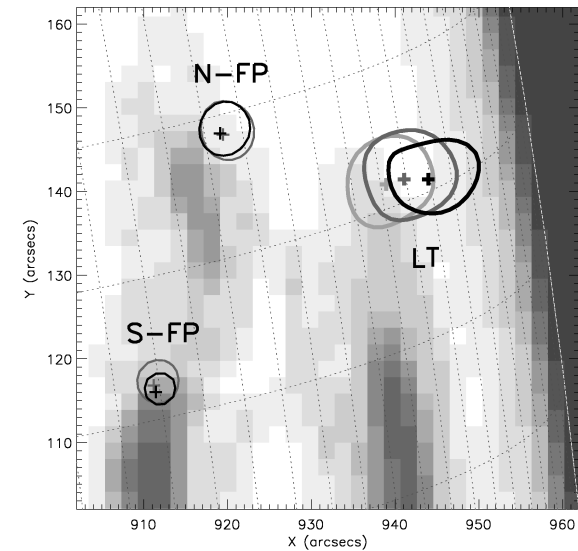
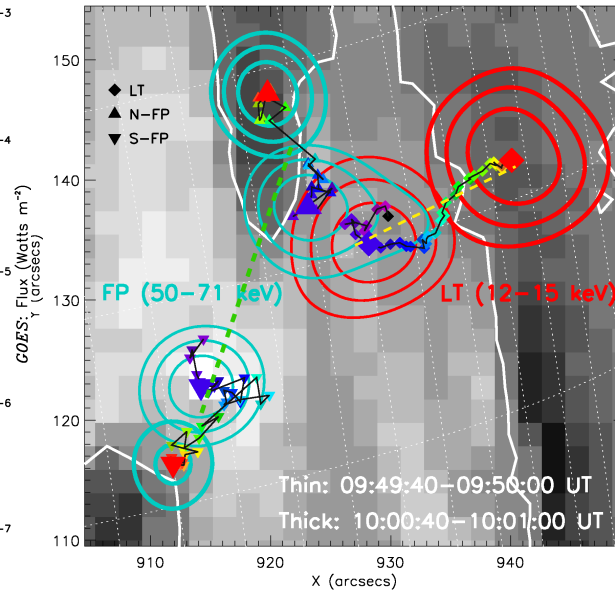
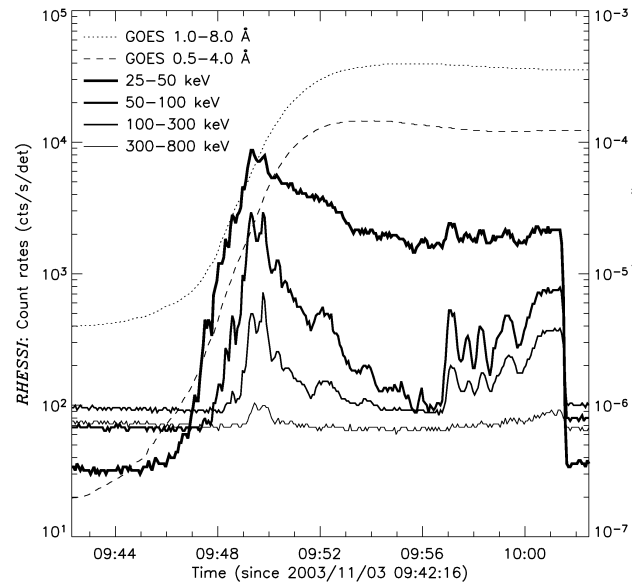
1. Electron Acceleration and Emission
2. Proton and Ion Acceleration and Emission
3. Solar Cosmic Rays (SEPs)

# Model Description

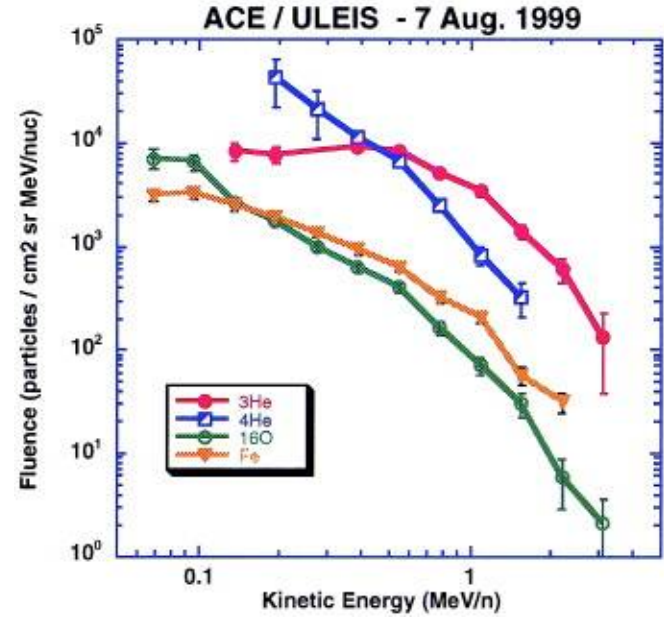
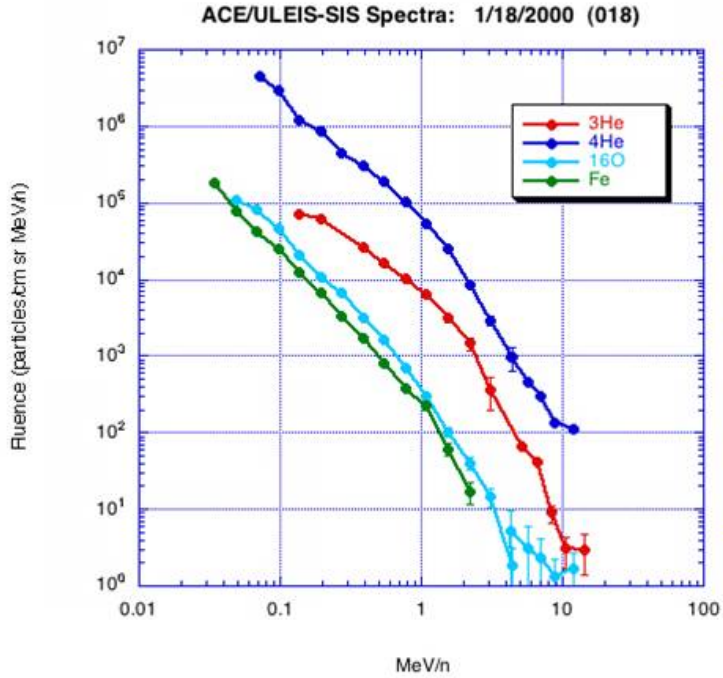


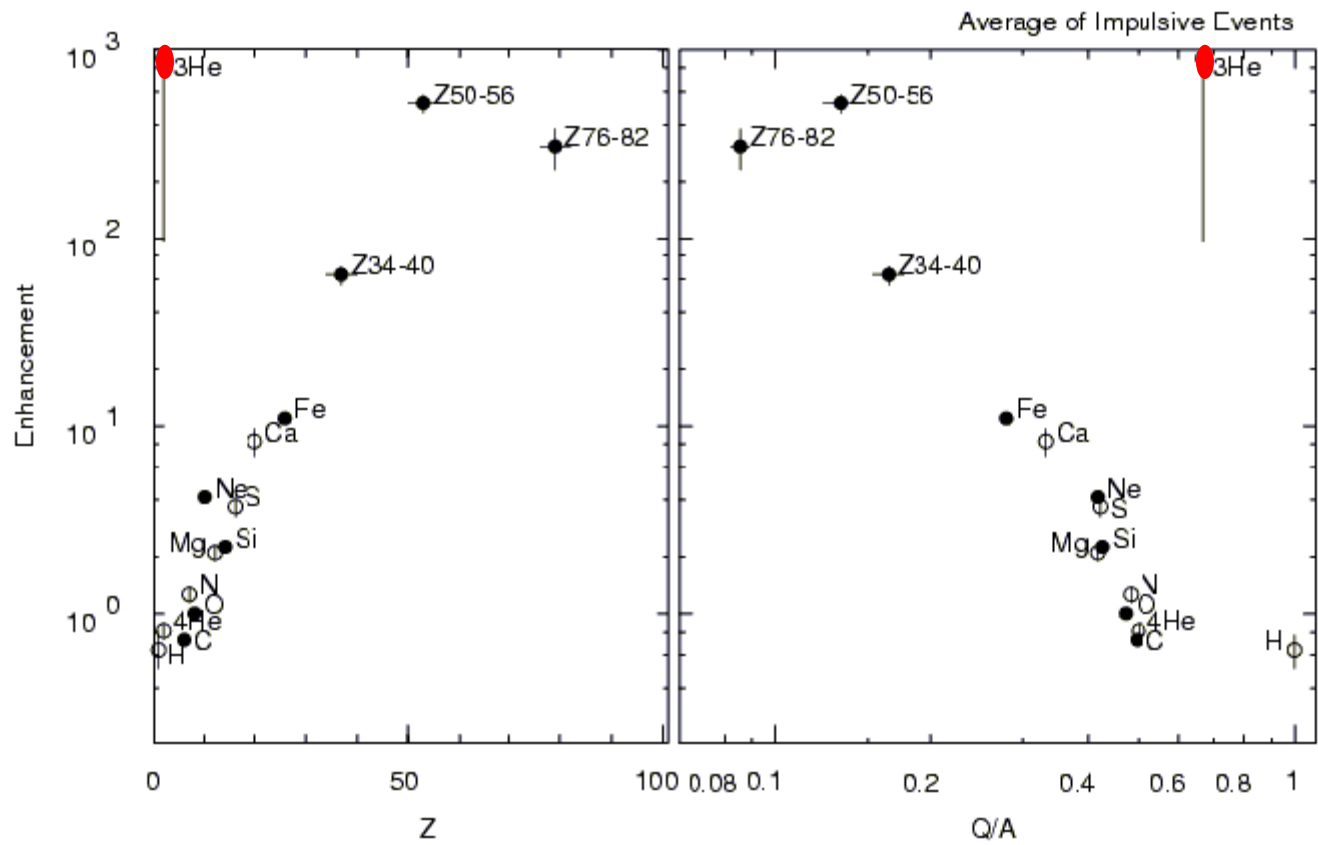
# A Simple Solar Flare

11032003, N09W77, X3.9

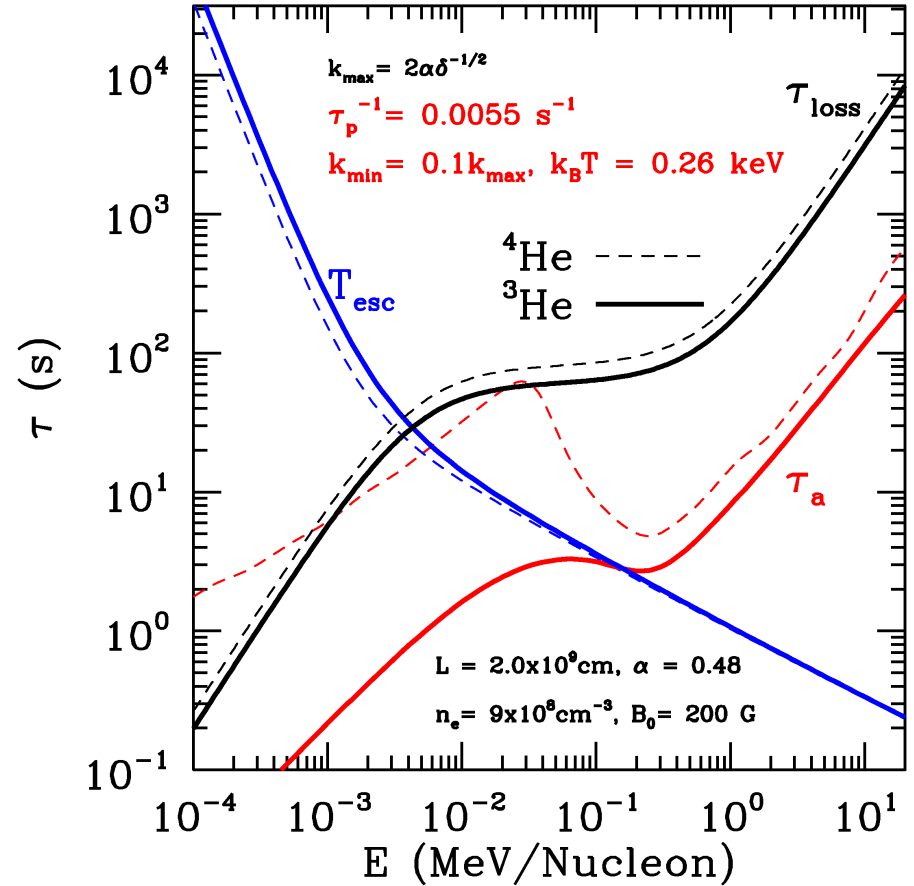
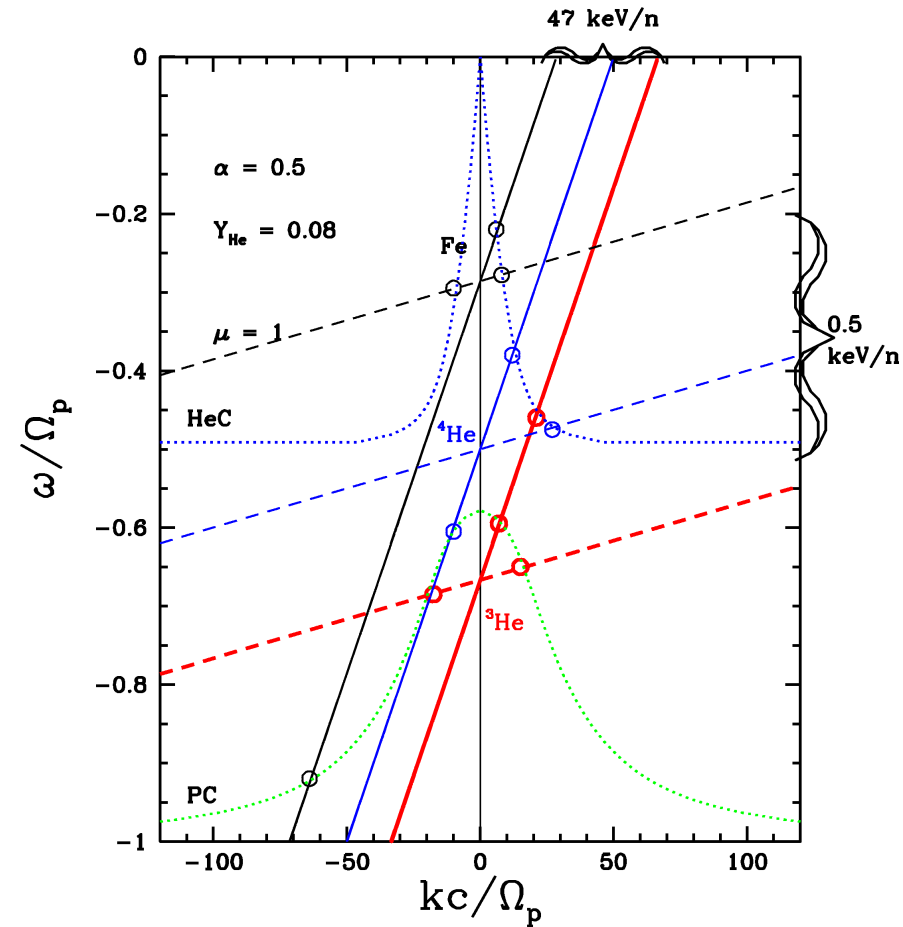


Event #11, Mason et al., ApJ, 574, 1039, 2002

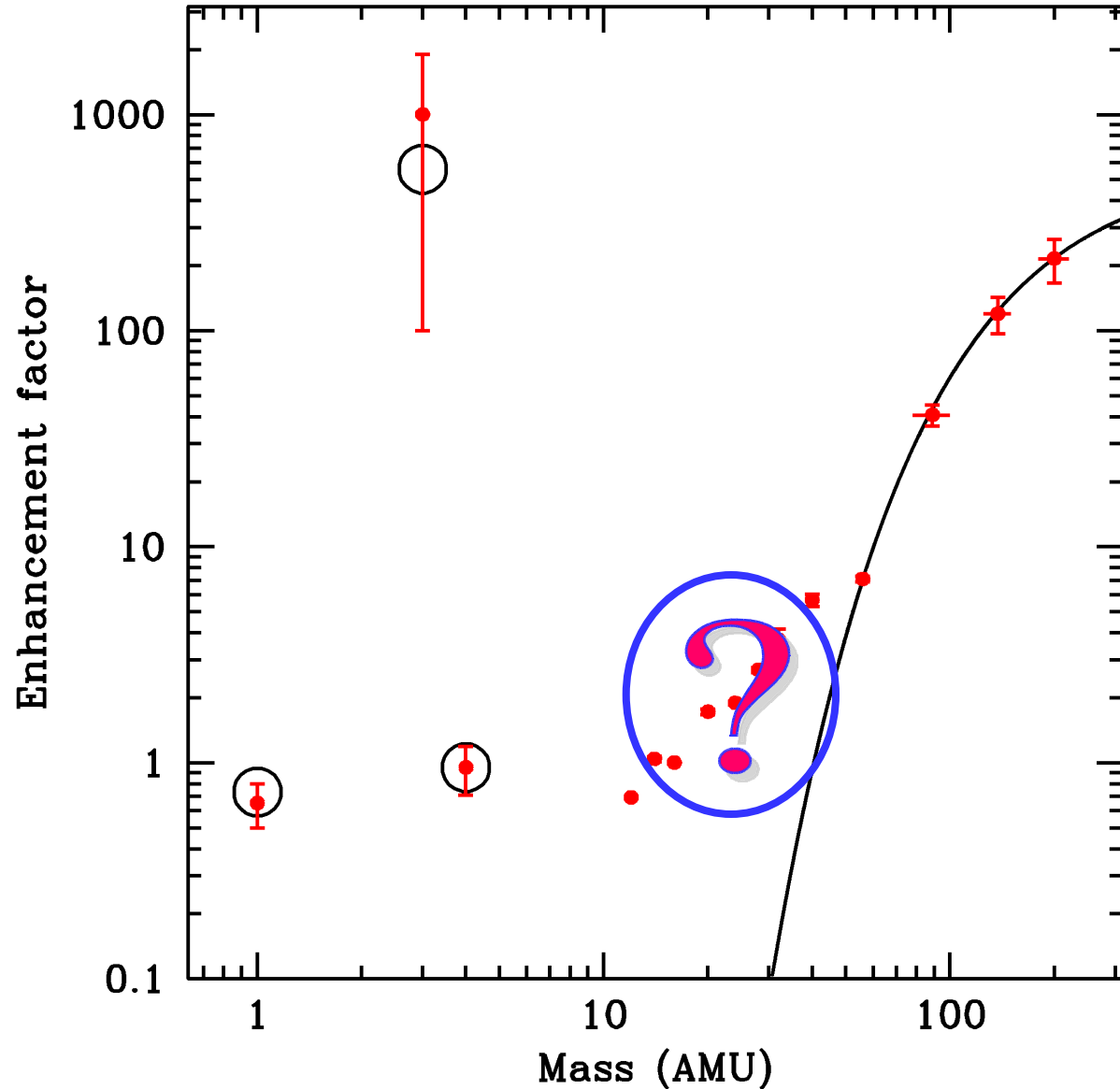


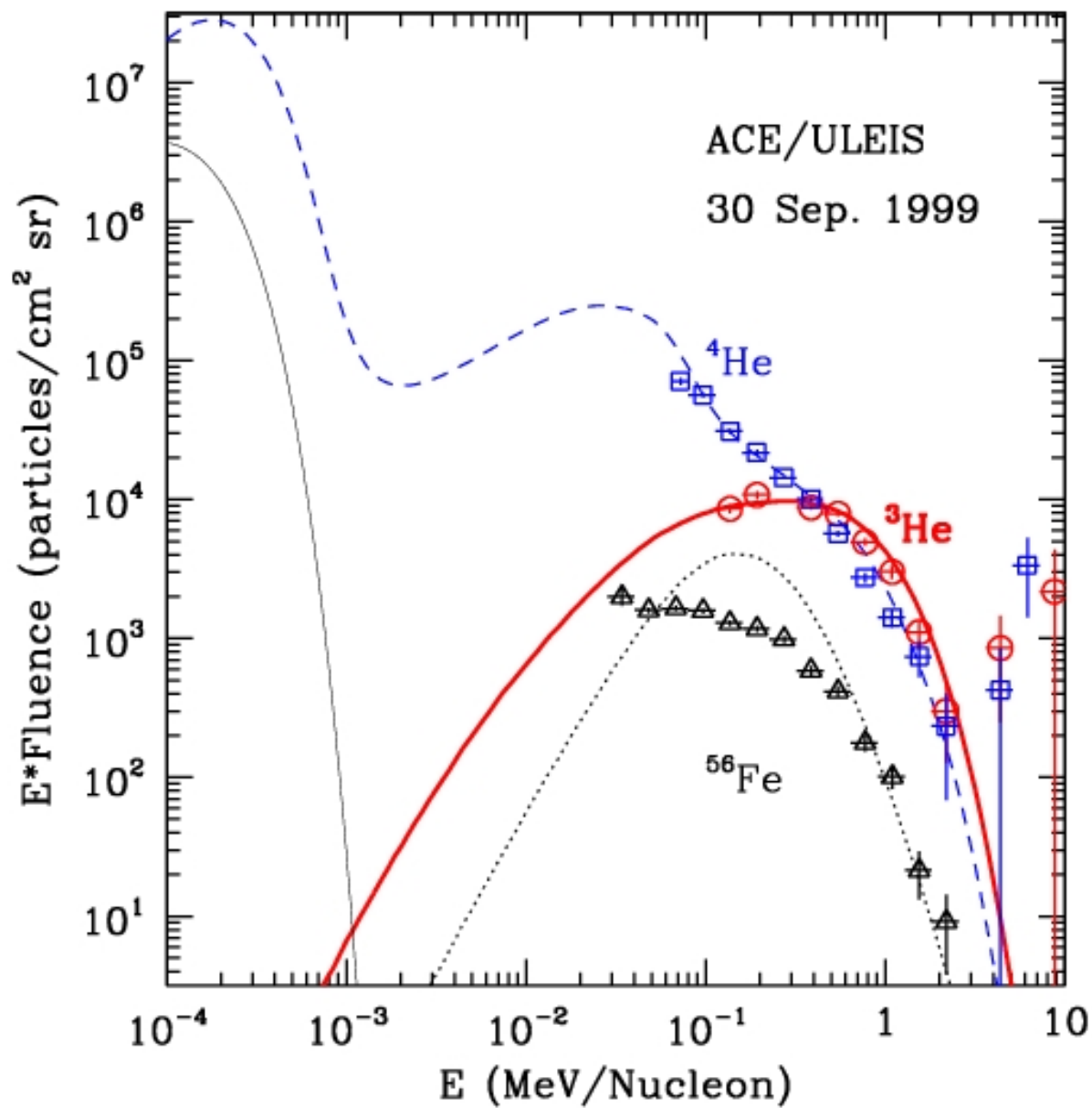


# Acceleration of $^3\text{He}$ and $^4\text{He}$ by Parallel Propagating Waves



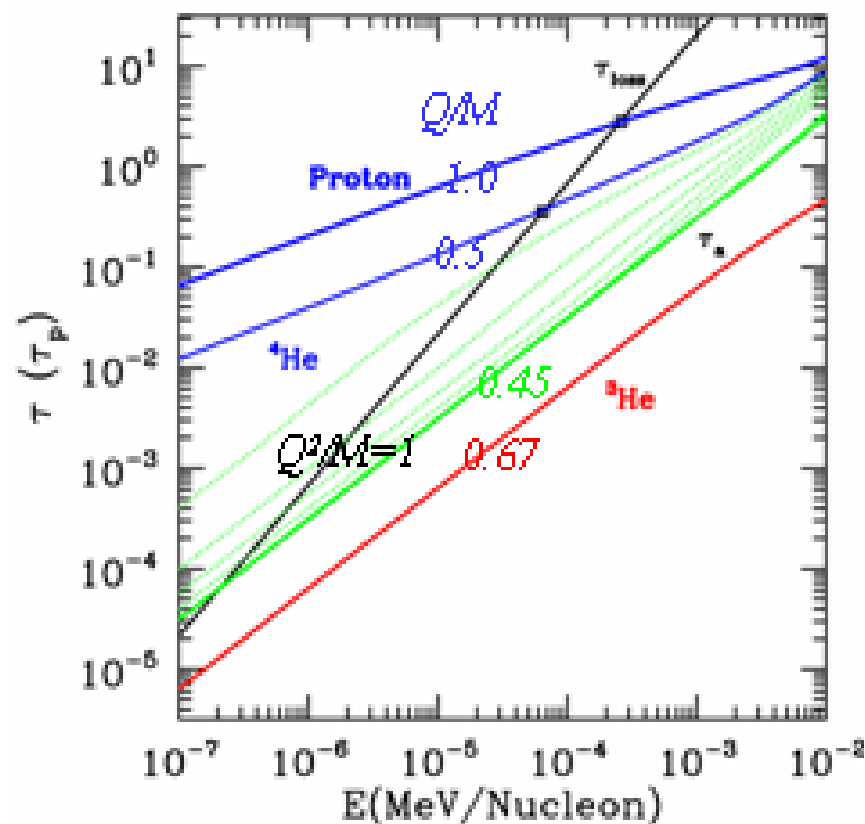
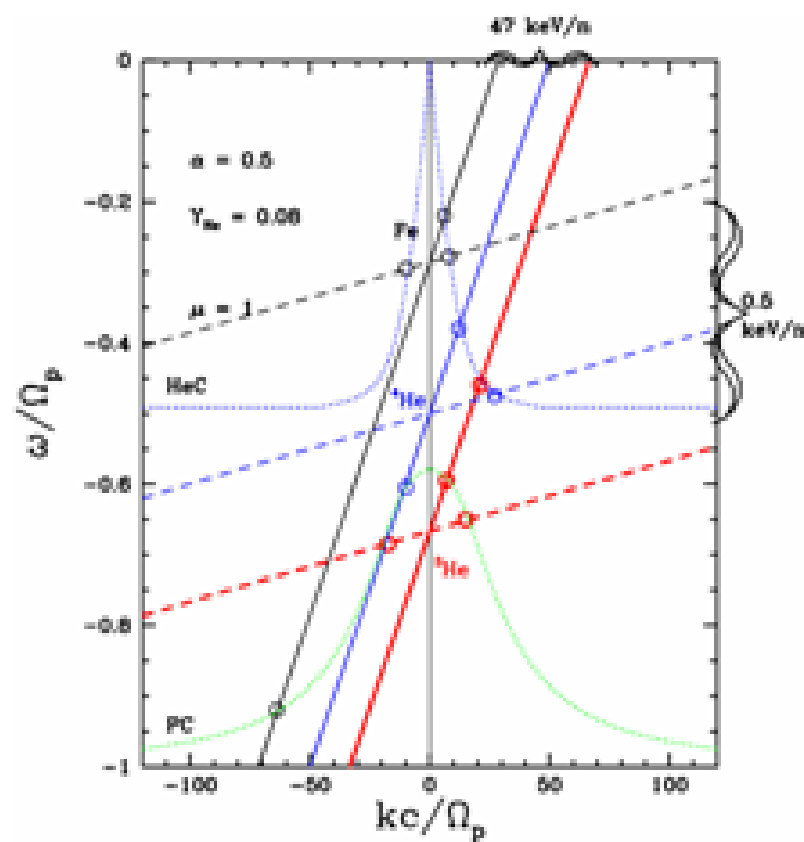
# $^3\text{He}$ and Heavy Ion Enrichment







### 3. Ion Acceleration by Parallel Propagating Waves



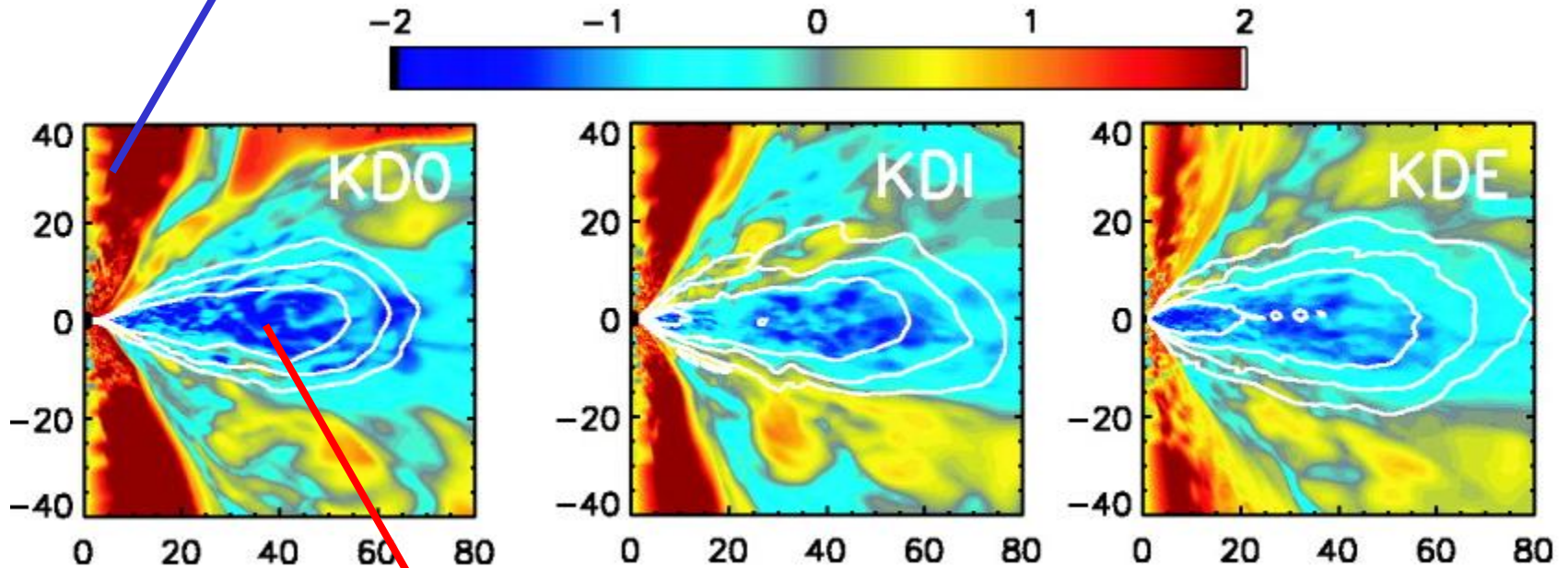
Sgr A\*

Proton and Electron Acceleration  
in the Galactic Center HESS Source

Electron Acceleration  
During the NIR and X-ray Flares

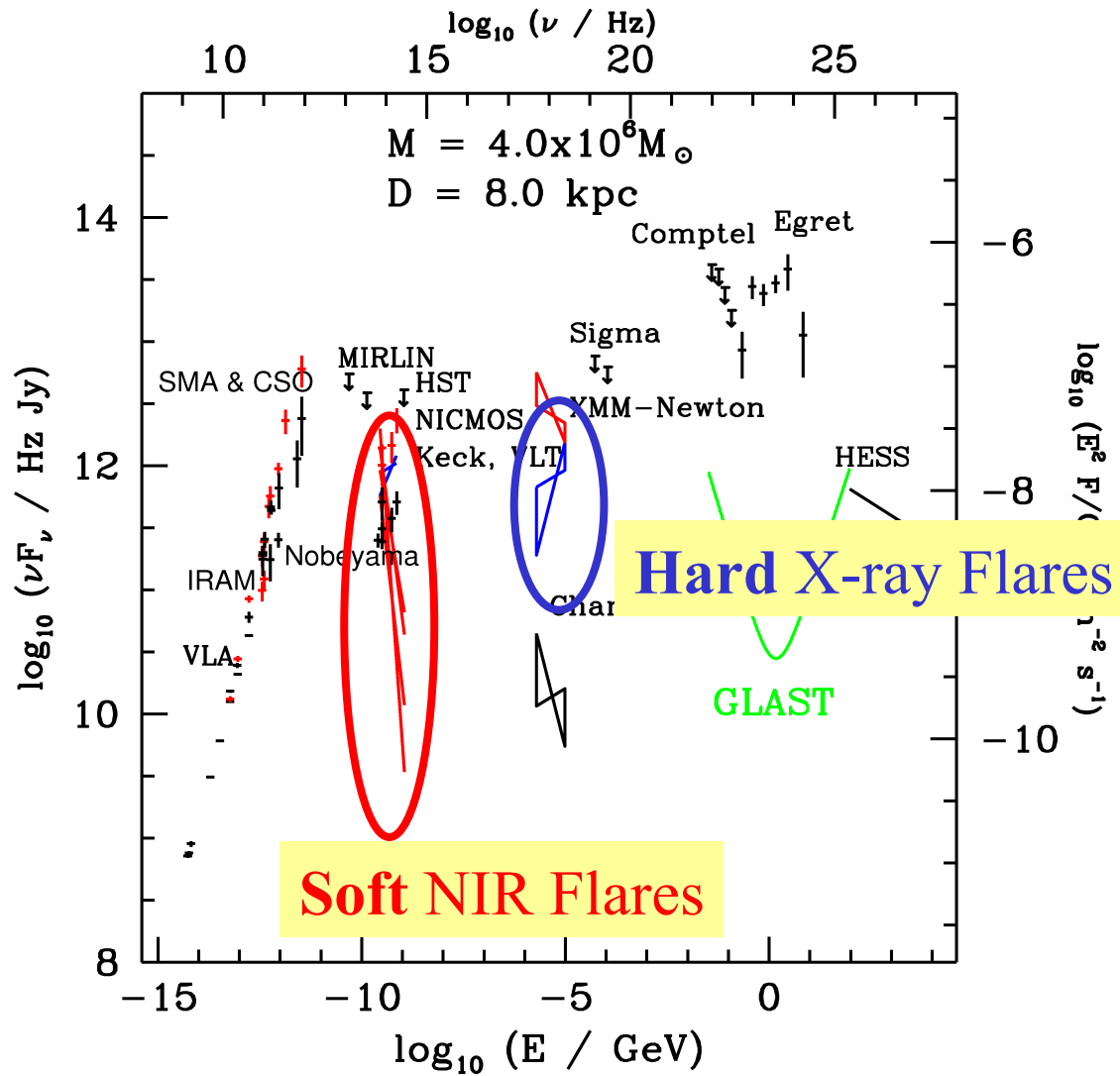
# Structure of the Accretion Flow

cm and mm via Synchrotron and proton acceleration

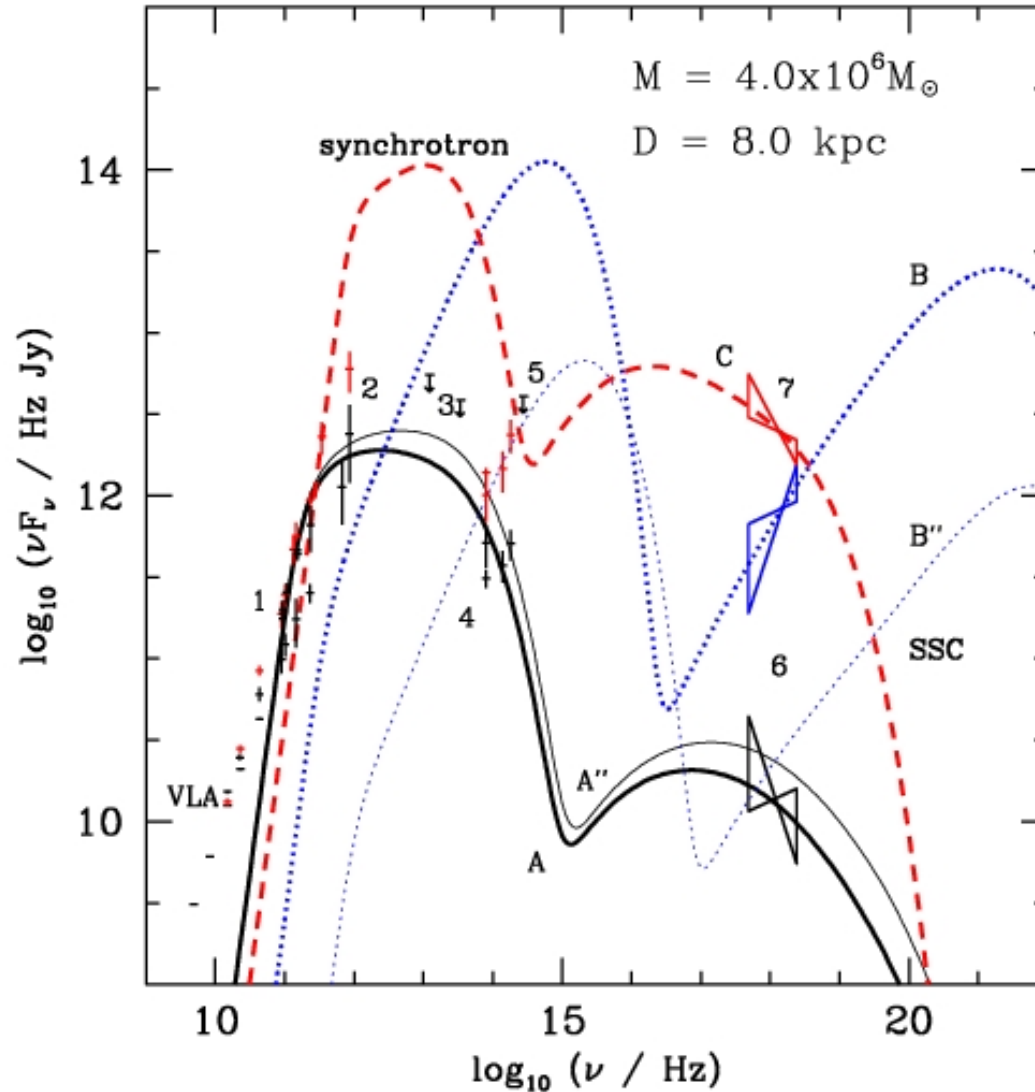


Sub-mm, NIR, and X-ray via Synchrotron and SSC

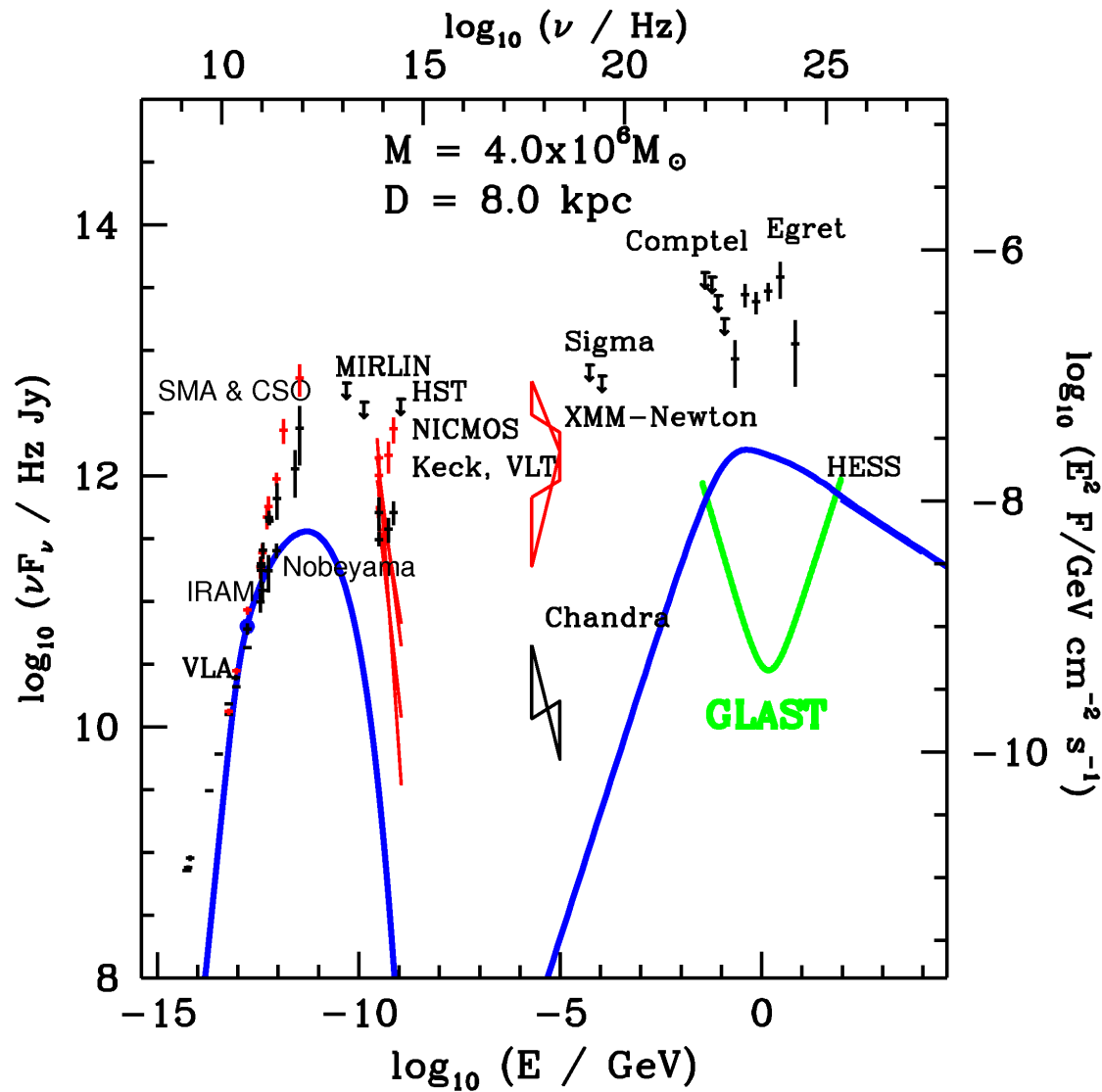
# Broadband Spectrum



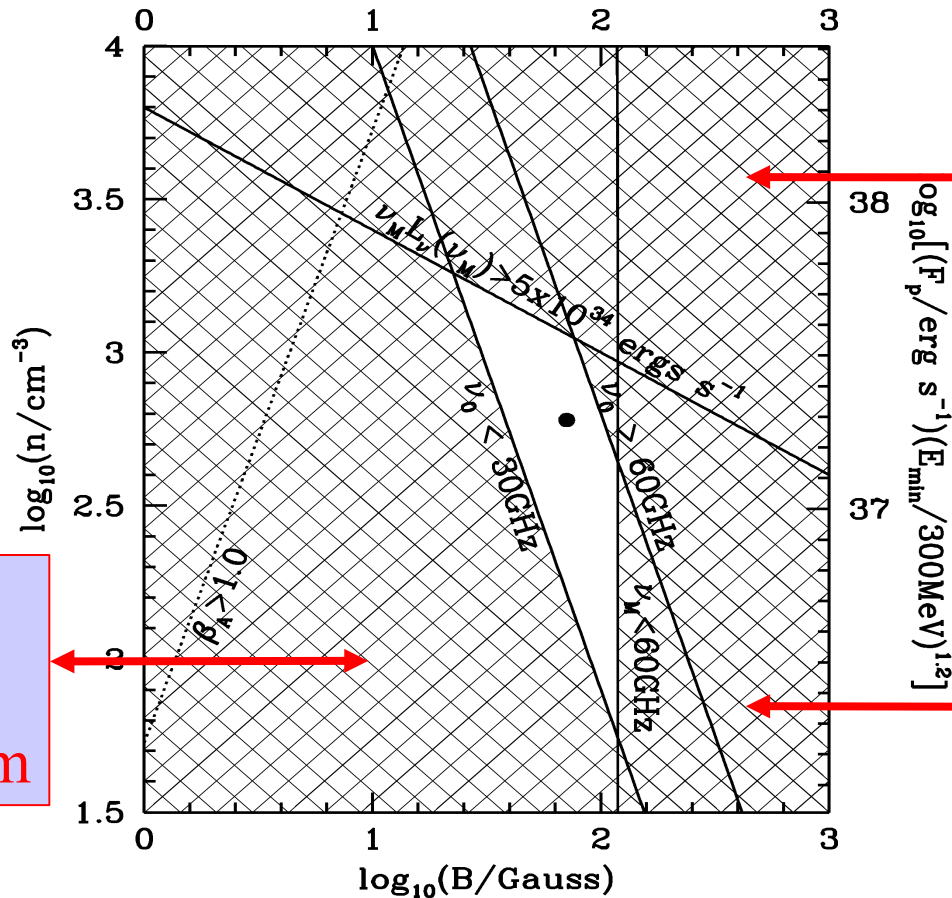
# “Quiescent” Electron Emissions



# Stochastic Particle Acceleration



# Constraints on Parameters



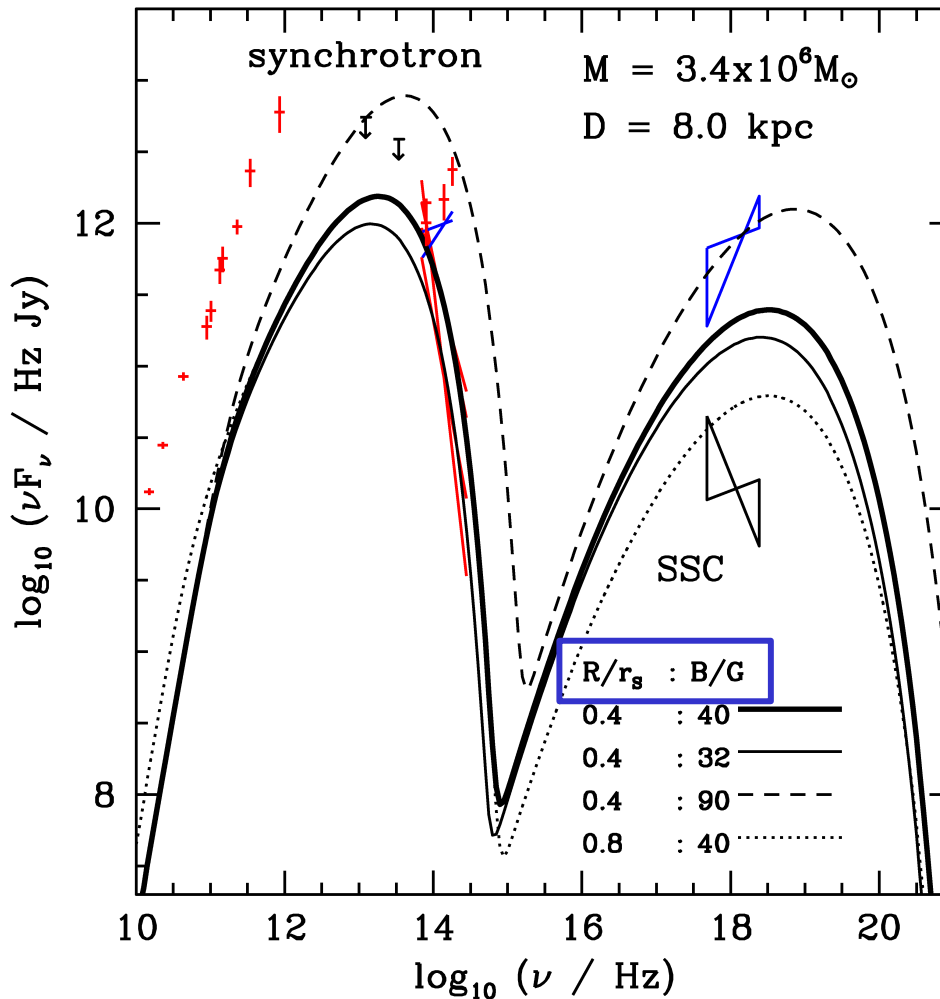
Source is too bright in the radio band.

Source is optically thin at 7mm

Cooling is too efficient to produce 7 mm emission

$$\beta_A \equiv \frac{v_A}{c} = 7.3 \left( \frac{B}{1\text{G}} \right) \left( \frac{n}{1\text{cm}^{-3}} \right)^{-1/2}$$

# Emission Processes During Flares



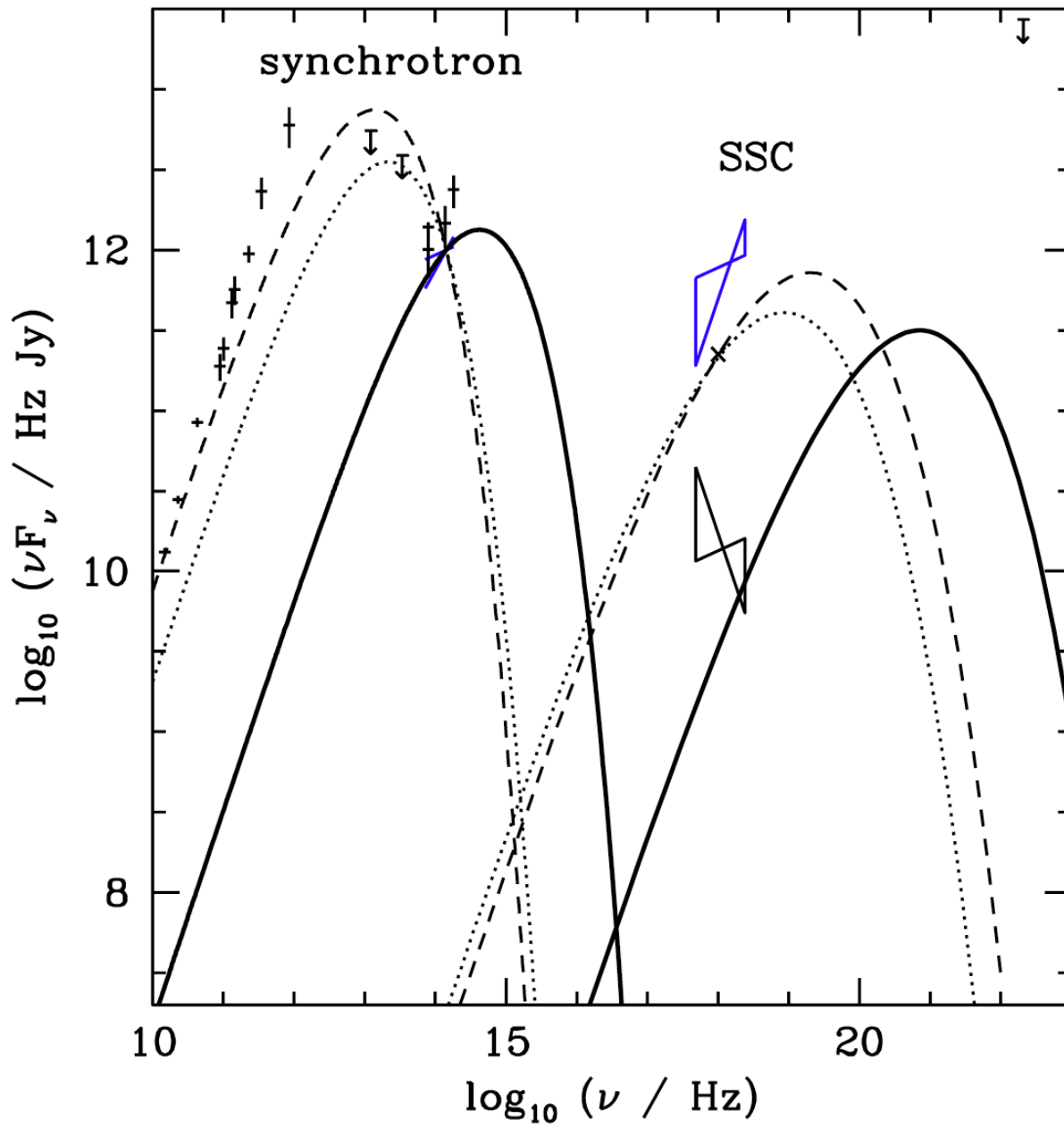
Thermal  
Synchrotron  
and SSC:

Four  
Parameters

$$\mathcal{N} = 3.8 \times 10^{42}$$

$$k_B T = 75 m_e c^2$$

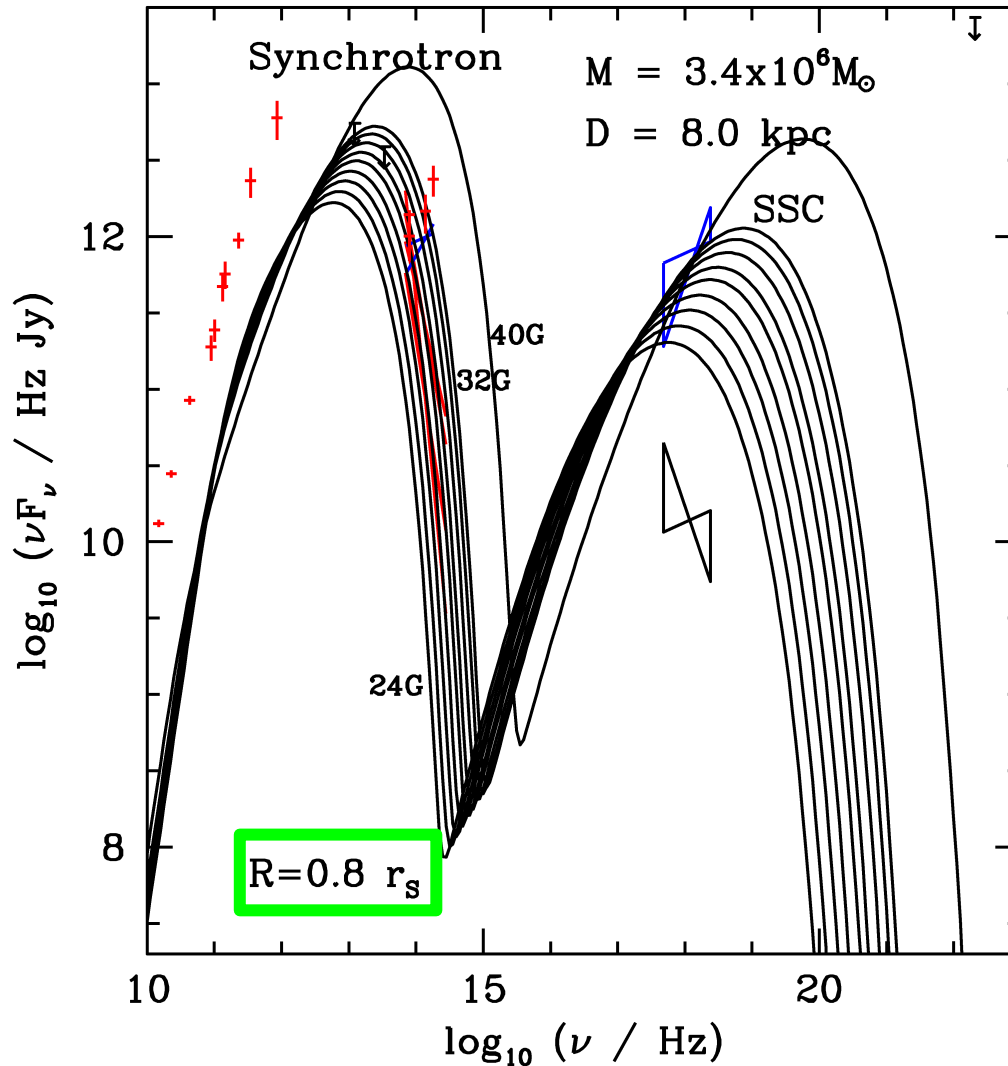




# Dependence on B

$$\frac{C_1}{f_{\text{turb}}} = 0.72$$

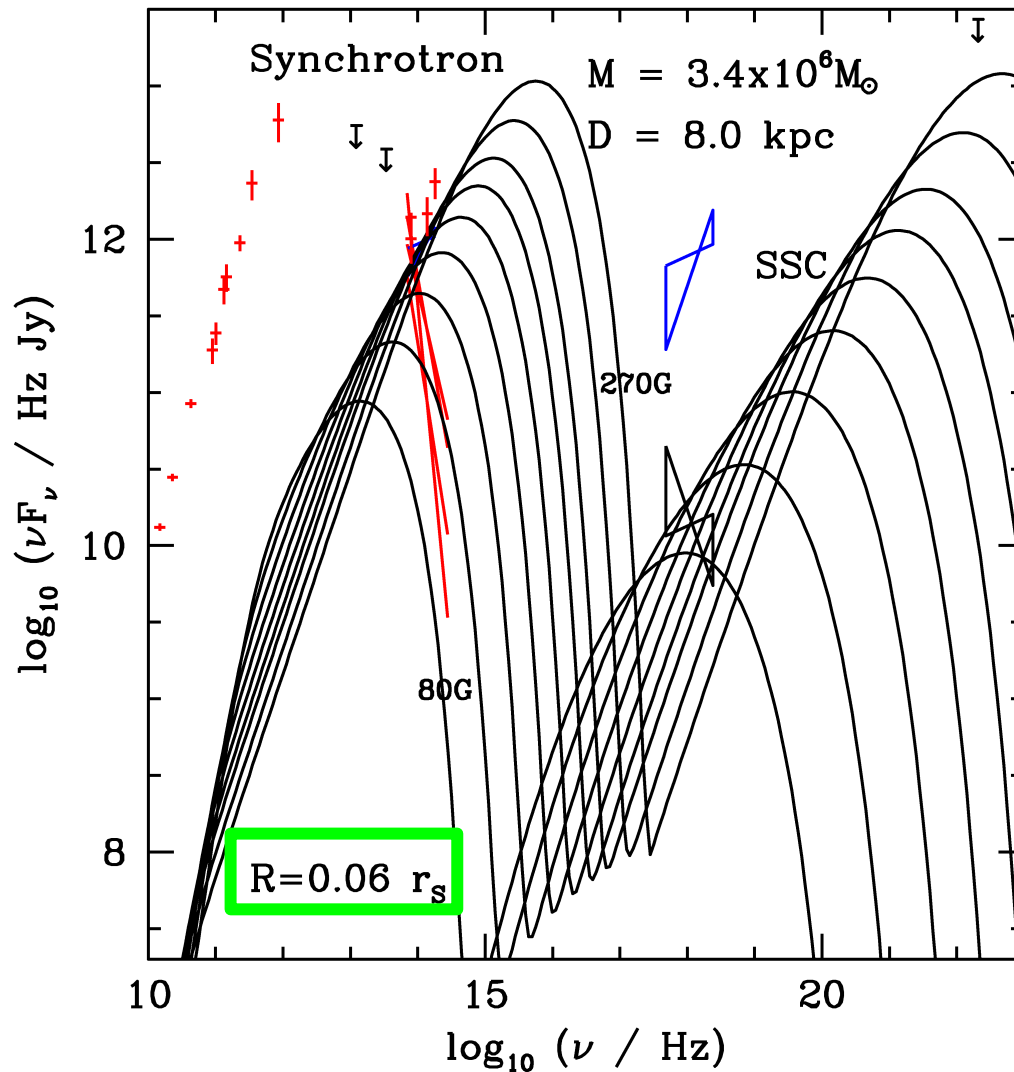
$$C_2 C_1^2 = 0.72$$



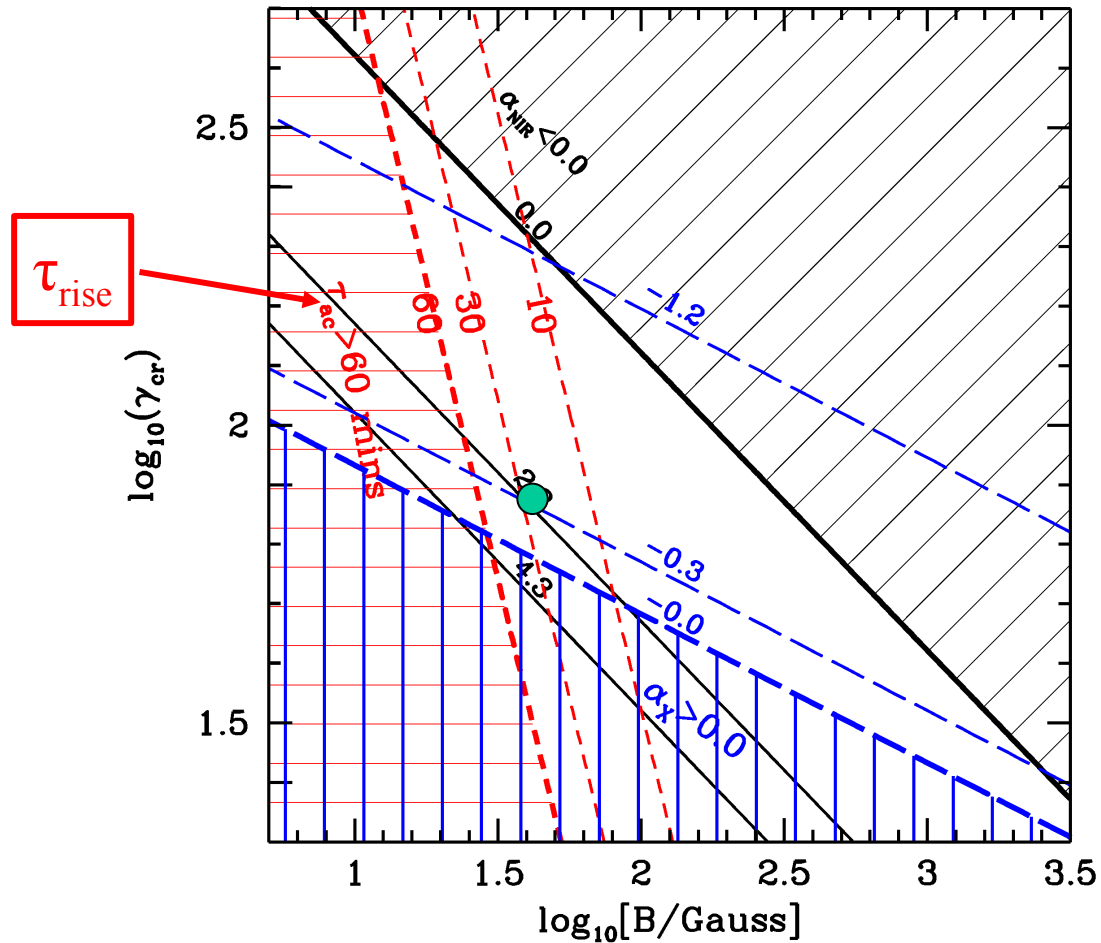
# Dependence on B

$$\frac{C_1}{f_{\text{turb}}} = 0.72$$

$$C_2 C_1^2 = 0.72$$



# Constraining T & B with NIR and X-ray Spectra and flare rise time



# Conclusions

**Combination of**

***stochastic acceleration, MHD simulations,***

**and observations over a broad range**

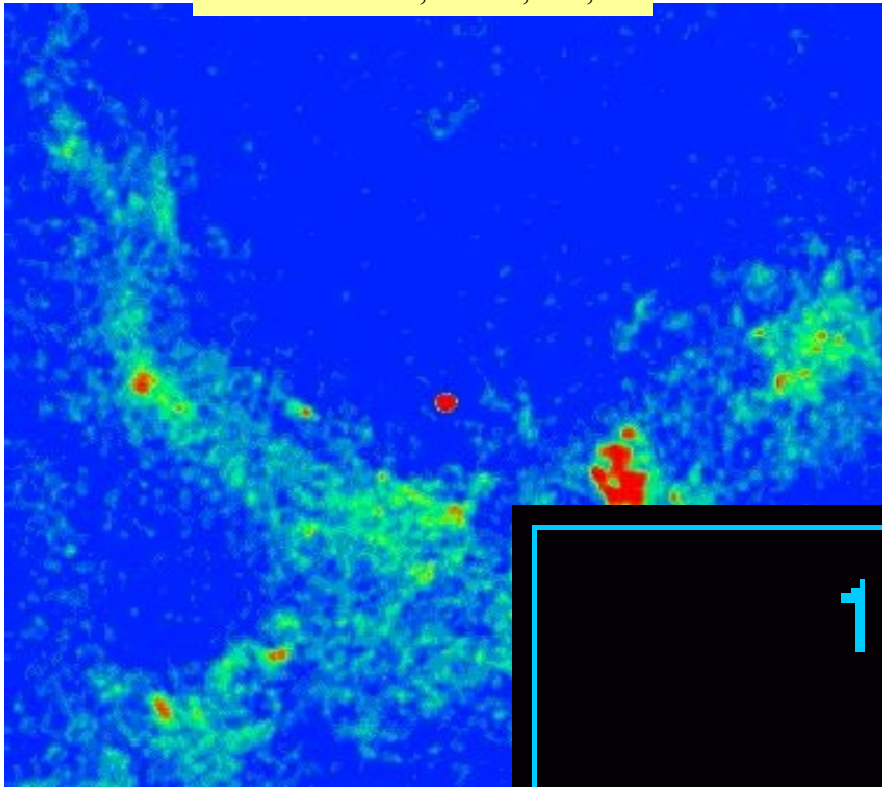
**can be used to detect the properties of the  
black hole and its accretion flows.**

# SUMMARY

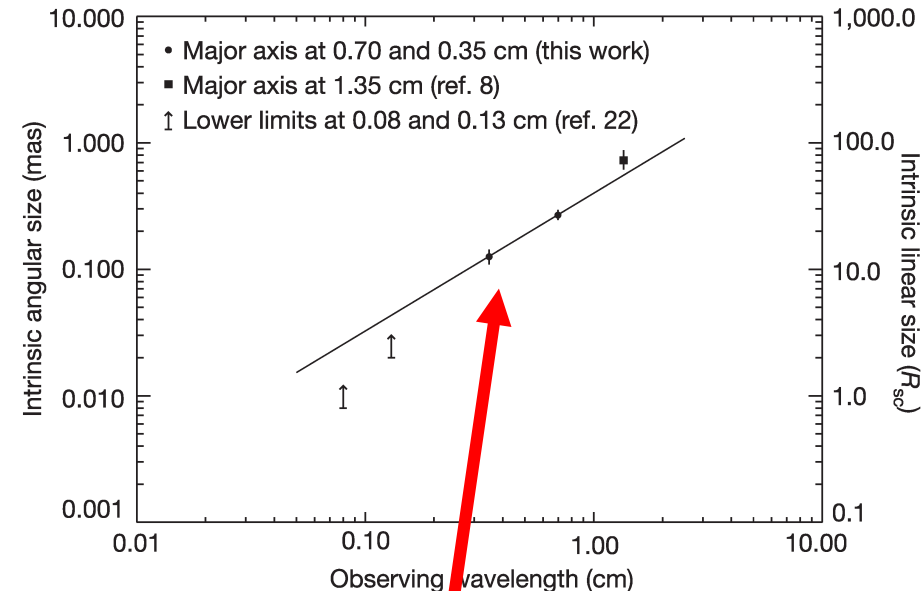
TURBULENCE AND STOCHASTIC  
ACCELERATION CAN PLAY  
IMPORTANT ROLES IN MANY  
ASTROPHYSICAL SOURCES

# VLA

Zhao et al. 1991, Nature, 354, 46

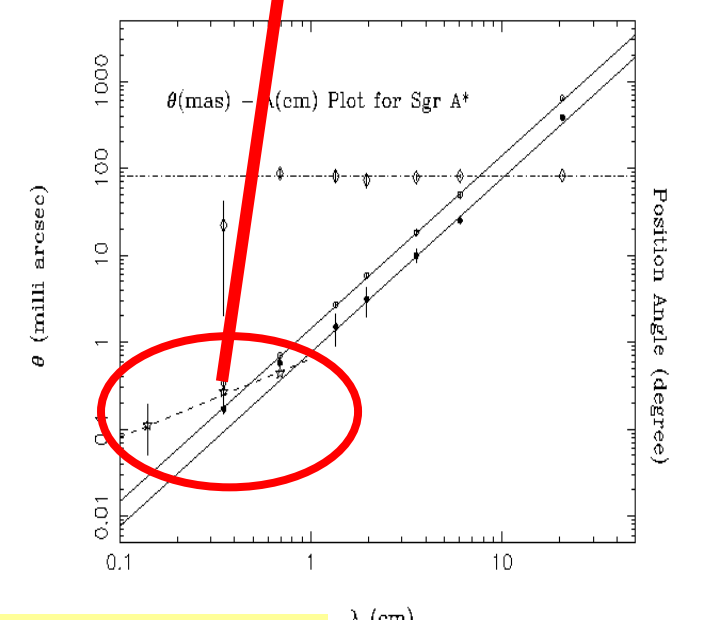
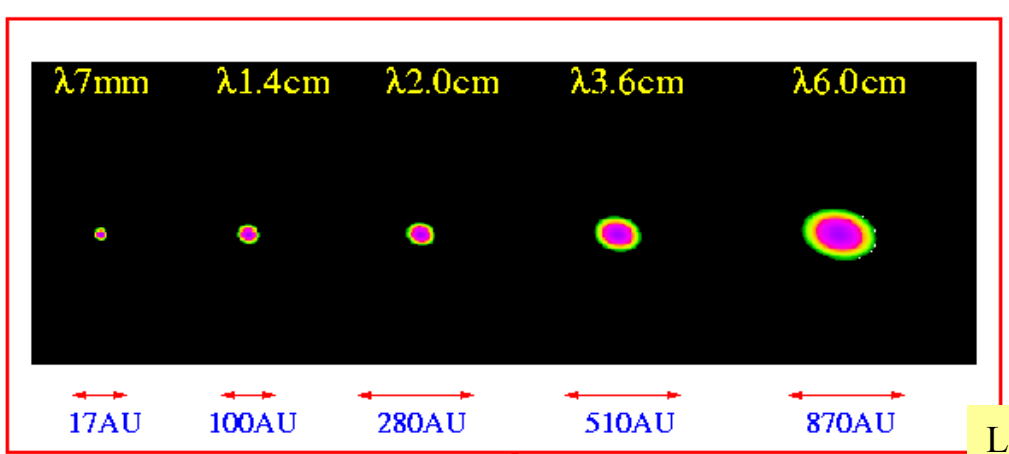


Shen et al. 2005, Nature, 438, 62



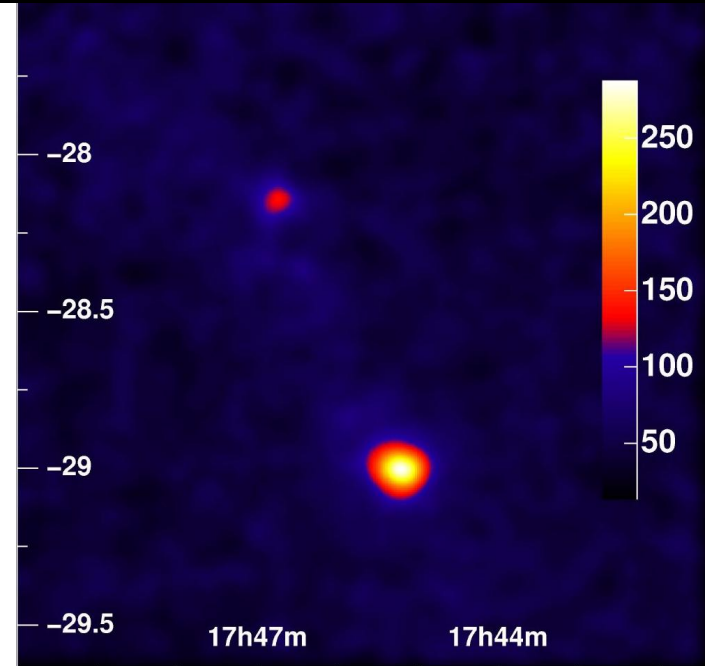
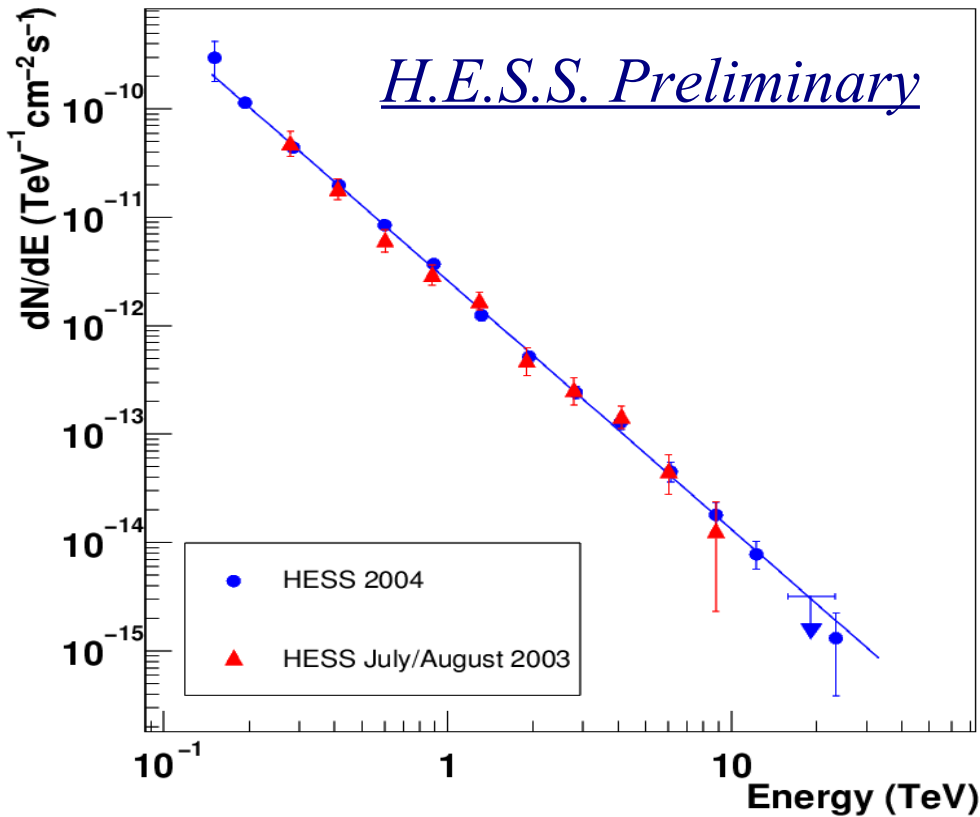
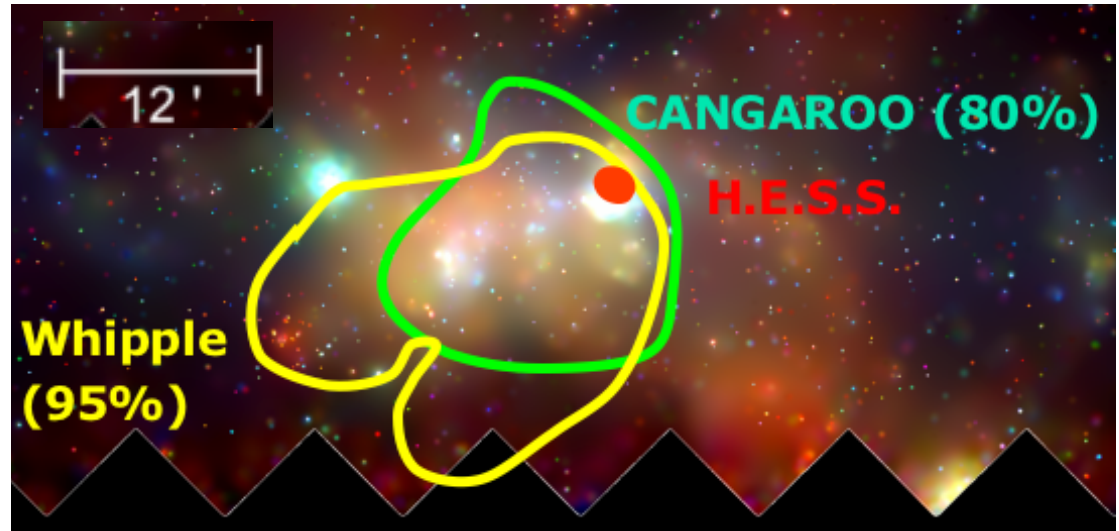
1995

2 cm radio image of the central two



Lo et al. 1998, ApJ, 508, 61

# HESS

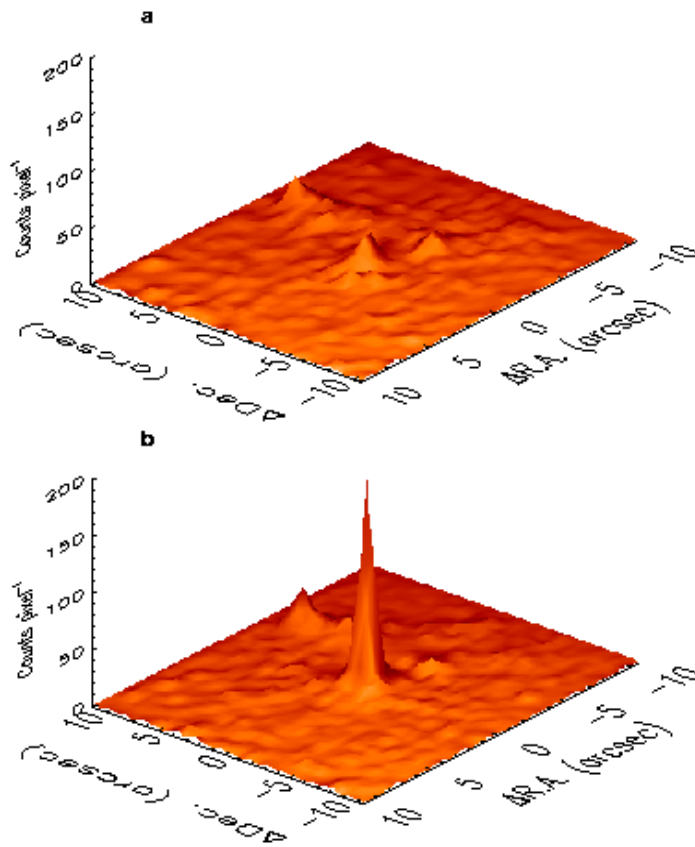


HESS Collaboration 2004

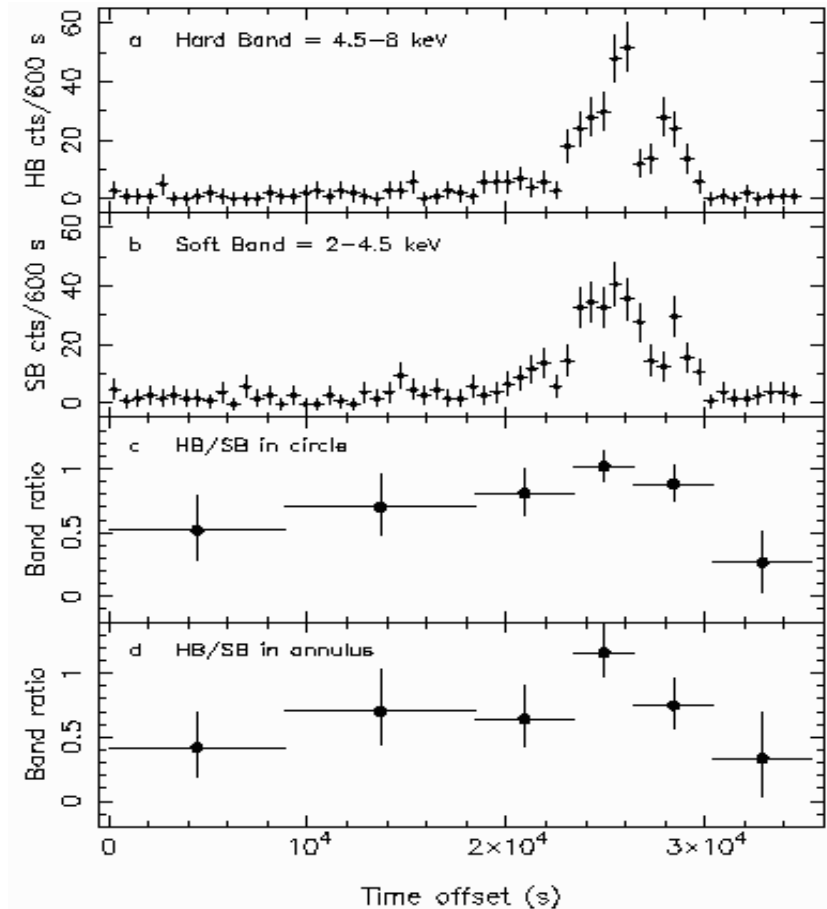


# X-ray Flares from Sgr A\*

(Baganoff et al. 2001)



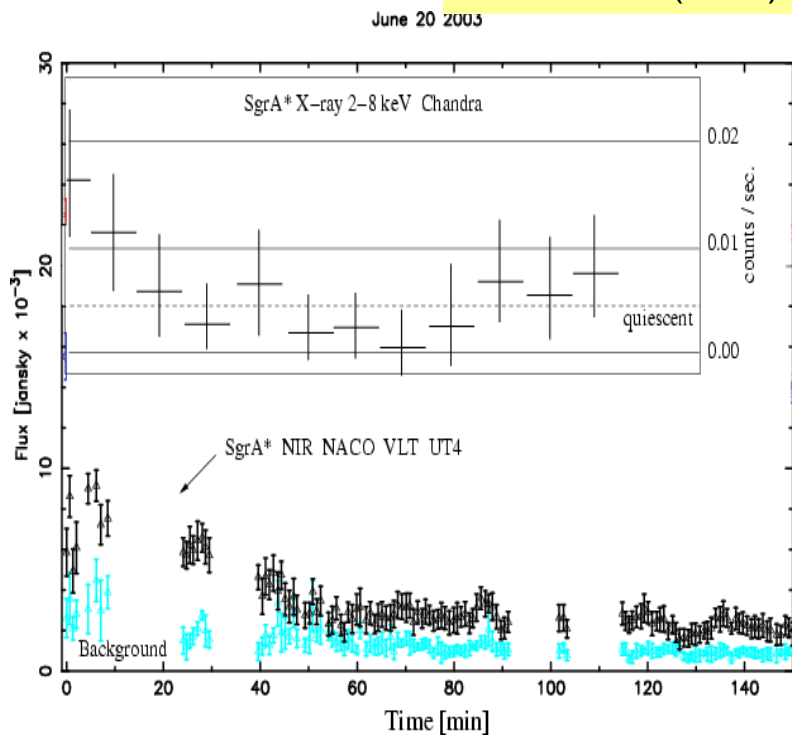
In flare-state, Sgr A\*'s X-ray luminosity can increase by more than one order of magnitude.



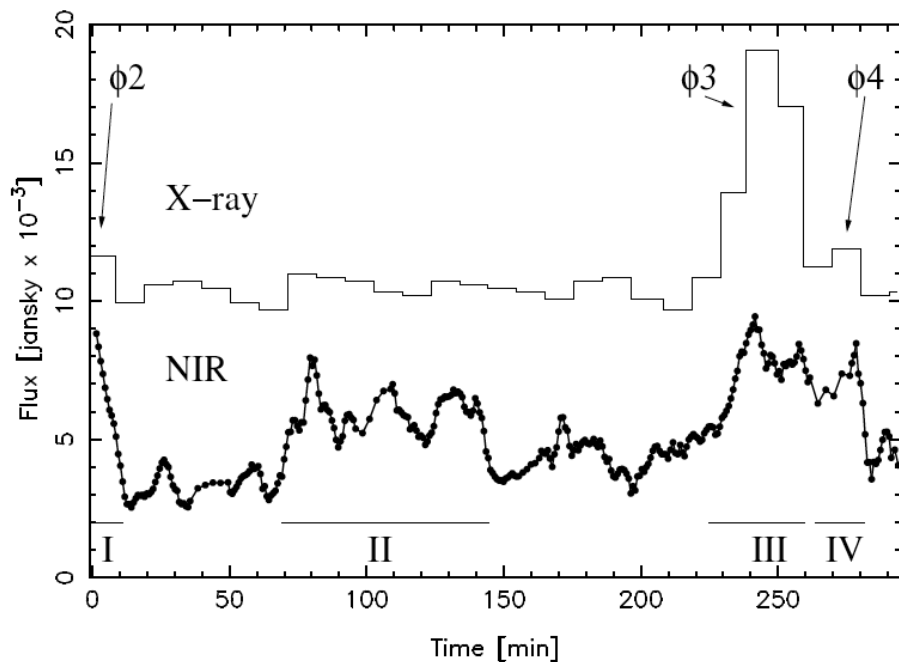
The X-ray flare lasted for a few hours. Significant variation in flux was seen over a 10 minute interval.

# Sgr A\* 19-20 June 2003 – NIR/X-ray Flare

Eckart et al. (2004)



2004-07-06T23:19:38.9894 to 2004-07-07T04:16:37.4597



Baganoff 2005

$$L_x \sim 6 \times 10^{33} \text{ erg s}^{-1}$$

$$L_{\text{nir}} \sim 5 \times 10^{34} \text{ erg s}^{-1}$$